



AFFILIATED AGENCIES

*Orange County
Transit District*

*Local Transportation
Authority*

*Service Authority for
Freeway Emergencies*

*Consolidated Transportation
Service Agency*

*Congestion Management
Agency*

March 15, 2024

**SUBJECT: Invitation for Bids (IFB) 4-2097
“Construction of Transit Security and Operations Center”**

Ladies/Gentlemen:

This letter and its attachments comprise **Addendum No. 1** to the above captioned Invitation for Bids issued by the Orange County Transportation Authority (“Authority”).

1. Bidders are advised that the pre-bid conference will be held on March 19, 2024 at 9:00 am, attendance will be on-site/in-person at the Authority’s Administrative Office, 550 South Main Street, Orange, CA 92868 in Conference Room 08. Participation via teleconference will also be available. **Prospective bidders may call-in using the following credentials:**

[Click here to join the meeting](#)

Call-in Number: +1 916-550-9867

Conference ID: 397 814 812#

2. Bidders are advised that a copy of the pre-bid conference presentation is presented as Attachment A to this Addendum No. 1.
3. Bidders who plan to attend the pre-bid conference remotely are requested to submit via e-mail to ml1@octa.net, no later than Tuesday, March 19, 2024 at 5pm, the Pre-Bid Conference Registration Sheet which is presented as Attachment B to this Addendum No. 1.

Bidders are reminded to acknowledge receipt of this **Addendum No. 1** in their “Bid Form”. Bidders are advised that all changes addressed in this **Addendum No. 1** shall be incorporated into the final Agreement.

Questions regarding this Addendum No. 1 should be directed to the undersigned at 714-560-5314 or mle1@octa.net.

Sincerely,

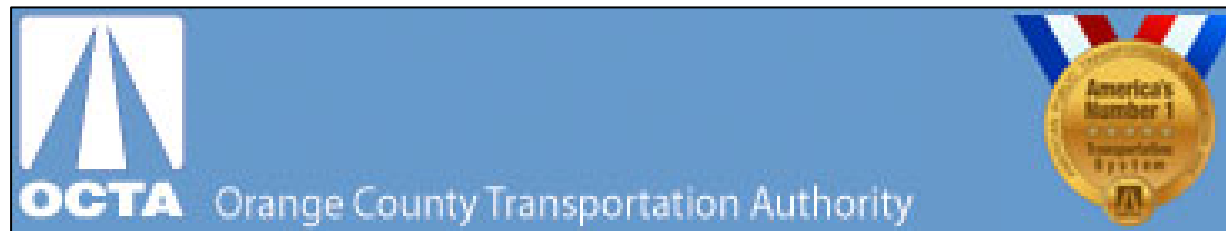
Michael Le
Senior Contract Administrator
Contracts Administration and Materials Management

Attachments:

Attachment A: Pre-Bid Conference Presentation

Attachment B: Pre-Bid Conference Registration Sheet

Pre-Bid Conference for IFB 4-2097 Construction of Transit Security and Operations Center



Agenda

- Safety/Evacuation Information
- Key Procurement Dates
- Disadvantaged Business Enterprise (DBE) Requirements
- Key Procurement Information
- Written Questions/Approved Equals
- Required Bid Submission
- Agreement
- Safety Requirements
- Scope of Work
- Questions and Answers



CAMM NET Registration

Why register on CAMM NET?

<https://cammnet.octa.net/>

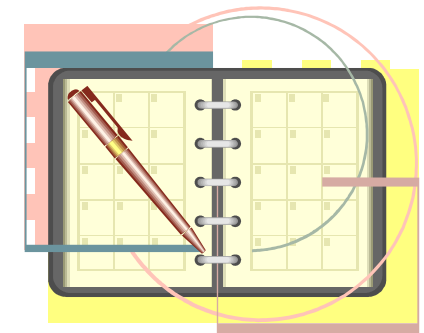
- To receive e-mail notifications of Solicitations, Addenda and Awards
- View and update your vendor profile
- Required for Award

Online Business & Networking Tools

- CAMM NET Connect
 - <https://www.facebook.com/CammnetConnect>
- Working with OCTA
 - <https://cammnet.octa.net/about-us/working/>
- Planholder's List
 - <https://cammnet.octa.net/procurements/planholders-list-selection/>
- Preliminary Bid Results
 - https://cammnet.octa.net/awards/bid_results/Default.aspx
- Disadvantaged Business Enterprise (DBE) Program
 - <https://cammnet.octa.net/dbe/>

Key Procurement Dates

- IFB Issue Date: March 11, 2024
- Questions & Approved Equals Due: April 2, 2024
- OCTA Responds: April 24, 2024
- Bid Submittal Deadline: June 3, 2024 11:00 A.M.
(time-stamp required by lobby reception)
- Board of Directors Award: August 12, 2024 (anticipated)



DBE Requirements and Forms Overview

Laura Foster
DBE Specialist

Conditions of Responsiveness

The Authority is utilizing Federal funds toward this project and is required to implement the DOT Disadvantaged Business Enterprise (DBE) Program Plan, based on U.S. DOT, 49 CFR, Part 26 requirements.

Demonstration of Responsiveness

In order to demonstrate responsiveness, Bidders must:

Meet the 11% DBE contract-specific goal by proposing sufficient DBE participation (with certified **DBE** firms)

OR

Demonstrate good faith efforts were undertaken to achieve the DBE goal.

Conditions of Responsiveness

The following conditions must be met for responsiveness:

- Timely submission of “Exhibit E-1” - Consultant DBE Commitment Form. This form should identify all DBE firms proposed to participate in the project regardless of tier.
- Timely submission of "DBE Letter of Acknowledgement and Commitment" from each proposed DBE, completed in its entirety and signed.
- Timely submission of “Bidders List”
- Timely Submission of “DBE Information - Good Faith Efforts” form (if the bidder did not meet or obtain enough DBE participation the meet the 11% goal)

DBE Required Forms Checklist

REQUIRED	FORM NAME	<u>DUE DATE</u>	CONFIRM BEFORE SUBMITTING
YES	Exhibit E-1 Consultant DBE Commitment Form	Required to be submitted with Bid, on Bid due date	<ul style="list-style-type: none"> Are all firms DBE certified through CUCP? Are NAICS/WCC codes applicable to proposed scopes? Are my totals and listed percentages correctly calculated?
YES	Exhibit E-2 DBE Letter of Acknowledgement and Commitment	Required no later than 4:00 p.m. on the 2 nd business day after the Bid due date	<ul style="list-style-type: none"> Have I submitted a DBE Letter of Acknowledgement for each proposed DBE on the “Exhibit E-1 Consultant DBE Commitment” form ? Is it signed? Does it match the “Exhibit E-1 Consultant DBE Commitment” form?
If DBE goal not met.	Exhibit E-3 DBE Information – Good Faith Efforts	Required no later than 4:00 p.m. on the 2 nd business day after the Bid due date	<ul style="list-style-type: none"> Have I reviewed all examples provided in this power point? Have I reviewed the GFE Toolkit and CUCP Database DBE Search Instructional Guides on OCTA’s website? Have all statements and efforts been documented?
YES	Exhibit E-4 Bidders List	Required no later than 4:00 p.m. on the 2 nd business day after the Bid due date	<ul style="list-style-type: none"> Does it include all firms who submitted a bid/quote, DBE and not DBE? Are any DBE firms listed who I have not proposed to utilize or did not list in item C of my good faith efforts?

Please Note: OCTA recommends submission of Exhibit E-3 even when submitting an Exhibit E-1 that appears to meet the goal, as noted within Exhibit E-3 instructions: *Although not required, proposer should submit the following information even if the “DBE Participation Commitment Form” indicates that the proposer has met the DBE goal. This will protect the proposer’s eligibility for award of the contract if Authority determines that the proposer failed to meet the goal for various reasons, e.g., a DBE firm was not certified at Bid submission, is not certified in the scope of work, or the proposer made a mathematical error.*

“Exhibit E-1 Consultant DBE Participation Commitment” Form



IFB 4-2097
Exhibit E-1

DBE PARTICIPATION COMMITMENT FORM

THIS FORM MUST BE COMPLETED AND SUBMITTED WITH THE BID AS A CONDITION OF DBE RESPONSIVENESS

NOTE: Refer to instructions on the reverse side of this form.

Bidder to Complete this Section					
1. IFB No.:					
2. Project Name/Description:					
3. Prime Bidder Name:					
4. Contract DBE Goal %:					
5. Bidder's Total Bid Price:					
Required DBE Commitment Information					
6. DBE Firm (Name and Address)	7. DBE Certification Number	8. Description of Scope of Services/Work	9. Bid Item (#)	10. Dollar Value (\$) of Participation	11. Dollar Value (\$) of Eligible DBE Participation/ Commitment
Note: As a condition of responsiveness, the bidder is required to submit with the Bid a DBE Letter of Acknowledgement and Commitment signed and dated from each DBE listed in Column 6 acknowledging that the DBE is participating in the contract for the specified dollar value (\$) and scope of work.			12. Total Dollar Value (\$) of Eligible DBE Participation \$		
The dollar amount and scope committed in the DBE Letter of Acknowledgement and Commitment and the amount/scope shown on this form MUST match identically.			13. Eligible DBE Participation Represented as a Percentage (%) of Bidder's Total Bid Price %		
Bidder Assurance: The bidder certifies that information on this form is complete and accurate, that it has verified the listed DBE(s) certification status and is only crediting eligible DBE participation towards meeting the contract DBE goal.					
14. Preparer's Name (Print)	15. Preparer's Signature	16. Preparer's Title			
17. Date	18. Telephone No.	19. Email Address			

180

- All areas must be properly completed.
- If proposing as a DBE Prime note “Prime Certified DBE” in the upper right side of the Form and list yourselves in the DBE Contract Information Section (Boxes 7, 8 , 9 & 10)
- Ensure the DBE certification number in Box 8 is the DBE firms CUCP certification number.
- Only count a DBE firm’s eligible participation in Box 10 (e.g. 60% credit as a supplier, only count mark up fees for brokers or facilitation of supply).
- It is the Prime’s responsibility to determine if a firm is working as a supplier or a broker to calculate the eligible participation.
- Box 11 should only reflect the eligible portion of DBE participation and equal the sum of the values listed in in Box 10.
- Ensure the percentage of eligible participation in Box 11 is calculated against the total cost bid including any allowances and options.

Counting DBE Participation



DBE Subcontractor/ Subconsultant -DBE provides a bonafide Service

DBE Manufacturer:
Materials or supplies obtained from a DBE Manufacturer



DBE Supplier:
Materials or supplies obtained from a DBE Regular Dealer. Supplier NAICSs does not confirm they are acting as a supplier, this is confirmed on a per-project basis.



DBE Brokers
receive DBE credit for Fees and commissions if determined to be within industry standard.



DBE Truckers: All transportation provided by DBE Trucking Firms can be counted toward the DBE goal

DBE must own and operate at least one truck used on the contract

DBE leasing trucks from other DBEs – 100% counts toward DBE goal

DBE leasing trucks from non-DBE firm without DBE drivers – only fees and commissions counted toward DBE goal

Conditions of Responsiveness (Cont)

- **At time of bid submission**, DBEs must possess a valid DBE Certification from the California Unified Certification Program (CUCP) (<https://dot.ca.gov/programs/civil-rights/dbe-search>).
- A firm's participation is only eligible to count towards DBE credit in the work code category (WCC) or the North American Industry Classification System (NAICS) codes contained within their CUCP DBE Profile:

DBE NAICS	541611; 541620; 541910;
ACDBE NAICS	
Work Codes	C8713 CONSULTANT, ENVIRONMENTAL; I8750 Market Research & FOCUS Groups; C8700 CONSULTANT, NON ENGINEERING;
Licenses	
Trucks	
Gender	F
Ethnicity	HISPANIC
Firm Type	DBE

- Services subcontracted by DBE firms to non-DBE firms may not be credited towards DBE participation.

“DBE Letter of Acknowledgement and Commitment”



IFB 4-2097
Exhibit E-2

DBE LETTER OF ACKNOWLEDGMENT AND COMMITMENT

1. IFB NO.: _____

2. Project Name/Description: _____

3. Bidder: _____

4. DBE Commitment Information

(A) Description of work to be performed by DBE firm (Include bid item number on the DBE Participation Commitment Form as applicable):

(B) Dollar value of this work \$ _____

5. DBE ACKNOWLEDGMENT*

I acknowledge that my firm has been listed by the Bidder named above, and is committed, to perform the scope and portion of work (A and B) stated above.

DBE Firm's Name: _____

Name: _____

Signature: _____

Title: _____

Telephone: _____

*If the bidder does not receive award of the prime contract, any and all representations in this letter of Acknowledgment and Commitment shall be null and void.

This form may be used to fulfill the DBE Participation Commitment Letter requirement as stated in the IFB instructing that the bidder is required to submit with the Bid a DBE Letter of Acknowledgment and Commitment signed and dated from each DBE acknowledging that the DBE is participating in the contract for the specified dollar value (\$) and scope of work.

182

- This Form must be submitted for each DBE firm proposed and listed on the “Exhibit E-1 Consultant DBE Commitment” form
- Form must be signed by each DBE firm
- Value listed must match the “Total” value of proposed participation listed on the “Exhibit E-1 Consultant DBE Commitment” form
- Required no later than 4:00 p.m. on the 2nd business day after the Bid due date

Good Faith Efforts (GFE) Requirements

- Bidder must demonstrate REAL and SUBSTANTIVE Efforts
- Bidder must have undertaken all necessary and reasonable steps to achieve the DBE goal that by their scope, intensity, and appropriateness to the objective of meeting the goal could reasonably be expected to obtain sufficient DBE Participation.
- Authority will consider the quality, quantity, and intensity of the different kinds of efforts undertaken by Bidder.
- Authority will examine GFE made by other Bidders, for the same solicitation, for comparison.
- A GFE Toolkit is available on CAMMNET for additional guidance.
<https://cammnet.octa.net/dbe/files/GFE%20Toolkit.pdf>

Good Faith Efforts (GFE) Requirements

IFB EXHIBIT E-3 “DBE Information - Good Faith Efforts” (Exhibit E-3)

If the offeror has met the DBE goal based on the participation of DBEs listed on the offeror’s “Consultant DBE Commitment Form E-1”, it is at the offeror’s discretion (i.e. this is not mandatory) to submit “DBE Information – Good Faith Efforts,” form.

However, the submission of good faith efforts documentation can protect the offeror’s eligibility for award of the contract if the Authority determines that the offeror failed to meet the goal for various reasons (e.g., a DBE firm was not certified at Bid submission, or the offeror made a mathematical error).

Submittal of only the “DBE Information – Good Faith Efforts,” form may not provide sufficient documentation to demonstrate that adequate good faith efforts were made; therefore, the offeror is encouraged to attach additional information and supporting documents, as necessary.

Requirements for Good Faith Efforts (GFE) When Goal Not Met

(refer to the DBE Information-Good Faith Efforts form in the IFB)

A. Items of Work the Bidder Made Available to DBE Firms;

The percentage of work made available should exceed the DBE contract goal

- The scopes of work made available should match what was listed on the proposer's Request for Bid, Advertisement and solicitation e-mails
- Bidder should unbundle larger scope items to facilitate DBE participation.

Requirements for Good Faith Efforts (GFE) When Goal Not Met

B. Solicitation Effort Documentation;

- A reasonable amount of DBEs must be solicited from the available firms per scope area (NAICS/WCC) made available. If OCTA's DBE Listing includes 300 DBE firms in a NAICS or WCC category, contacting 50 firms would not demonstrate an adequate good faith effort in this GFE area.
- Bidder to ensure they are conducting accurate searches, CUCP Database DBE Search Instructional Guides are available on the Authority's website: <https://cammnet.octa.net/dbe/>
- If Bidder prepares a summary sheet of written solicitations that occurred, evidence of the individual solicitations should be submitted with the summary sheet. A summary sheet and a template of the solicitation language does not demonstrate adequate GFE unless the individual solicitations are also submitted.
- Call logs must include type date, time and who was spoken to and results of the communication (e.g. interested, proposing, not proposing).

Requirements for Good Faith Efforts (GFE) When Goal Not Met

B. Solicitation Effort Documentation (continued);

- Bidders should solicit firms at a minimum no later than 14 calendar days prior to the Authority's bid due date and follow up to the solicitations should allow DBE firms reasonable time to respond.
- If a firm was contacted and identified to be interested or if no response was yet received, call-log or e-mail documentation must be included to show a timely follow-up was made.
- Bidder must include within their GFE documentation efforts to identify correct numbers or e-mails if numbers were out of service or e-mails were returned.
- DBE firms solicited must be advised if the original bid due date has been extended.

Requirements for Good Faith Efforts (GFE) When Goal Not Met

C. Rejected DBE Bid Documentation;

- Bidder MUST include quote(s) from rejected DBE firm(s), the quote from the firm selected for the work AND an explanation of why the selected firm was chosen over the rejected DBE.
- If the reason for rejection was “price” the quotes must be “like” in comparison? If the DBE was offered bid items 1-5 and Non-DBE firm was offered bid items 1-20, they may have an ability to offer a lower rate for items 1-5 as they can absorb the cost in other areas. These are not “like” quotes.
- If the reason for rejection was “price“, the cost differential should be greater than 10%? If not, it would be reasonable to conclude the proposer should have given cognizance to the goal and opted to go with the DBE.
- Bidder to provide efforts made (and documented) to communicate, clarify and/or bring rejected DBE firms on the team.

Requirements for Good Faith Efforts (GFE) When Goal Not Met

D. Publication Efforts Made to Advertise the Projects to Solicit DBE Participation;

- To demonstrate responsiveness in this area, proposer must not place publications on a media that requires a fee to view.
- Publications must be posted a minimum of 14 calendar days before the bid due date.
- Publications must include information about the contract (location of project, contract number, bid due date, scope of work made available and contact information.)
- If the bid due date is extended, publication should be re-run to ensure potential proposers are also notified.

Requirements for Good Faith Efforts (GFE) When Goal Not Met

E. Agencies, Organizations, or Groups Contacted to Provide Assistance in Contracting, Recruiting, and Using DBEs;

- Bidder must reach out to local Agencies, Chambers or Minority Associations and submit documentation of these efforts.
- If assistance was provided by an agency, organization and/or group, the proposer must show they have utilized or followed-up with the assistance provided.

Examples:

District 12, District Local Assistance Engineer: Tifini Tran at (949) 756-7805 or at Tifini.Tran@dot.ca.gov

District Small Business Liaisons (DSBL): Brian G. Walsh, DSBL #12 (949) 724-2332, Brian.G.Walsh@dot.ca.gov.

California Construction Contracting Program (CCCP): Peg Bergmann, Project Director, at (916) 445-3512 or at peg_bergmann@dot.ca.gov.

SCORE Orange County

List of California Chambers by County: <http://advocacy.calchamber.com/resources/local-chambers/>

Construction Management Association of America (So Cal Chapter): www.cmaasc.org

Requirements for Good Faith Efforts (GFE) When Goal Not Met

F. Efforts to Provide Information About the Plans, Specifications, and Contract Requirements;

- Assistance should be documented in the publication and Invitation For Bid.
- Bidder to provide e-mails or faxes to document assistance was provided.
- Efforts should exclude supplies and equipment the DBE subconsultant purchases or leases from the prime consultant or its affiliate(s).

G. Assistance with Lines of Credit, Insurance, and/or other Services;

- Assistance should be documented in the publication and Bidder's Invitation For Bid.
- Bidder to provide e-mails or faxes to document assistance was provided.
- Bidder to submit documentation of innovative measures to assist DBEs with bonding, lines of credit or insurance.
- Bidder should provide a link to the plans and specifications in their solicitation or document how plans and specifications were made available to prospective proposers by some other means.

Requirements for Good Faith Efforts (GFE) When Goal Not Met

H. Additional Data to Support a Demonstration of Good Faith Efforts;

- Any additional good faith efforts demonstrated that do not fall into categories A through G.
- The DBE participation commitment of the other proposers is the primary consideration for this category. The Authority will determine what the average commitment of the proposers is and if this proposer met that average. If the proposer did not meet the average, it can be reasonably assumed that their good faith efforts were not sufficient in this area.

Example:

- 1st proposer: 3% commitment
- 2nd proposer : 6% commitment
- 3rd proposer : 10% commitment

In the above scenario the average of the proposers is 6.3%, as the 1st proposer came in below the average, they did not sufficiently demonstrate good faith efforts in relation to the other proposer.

Bidders List

(refer to the Bidders List in the IFB)

- Bidder is required to complete and submit the DBE Form E-4 form (titled Bidders List) to Authority no later than 4:00 p.m. on the 2nd business day after the bid due date via email to mle1@octa.net.
- Bidders List is to include all firms (DBE and non-DBE) that submitted quotes or bids to act as subconsultants, suppliers or brokers, whether or not you elected to use them in your bid.

Bidders List

IFB 4-2097
Exhibit E-4



Bidders List

The Department of Transportation requires the Authority to create and maintain a "Bidders List" containing information about all firms (DBE and Non-DBE) that bid, propose or quote on the Authority's DOT-assisted contracts, in accordance with 49 CFR Part 26.11. The "Bidders List" is intended to be a count of all firms that are participating, or attempting to participate, on DOT-assisted contracts, whether successful or unsuccessful in their attempt to obtain a contract.

The bidder is to complete all requested information for every firm who submitted a bid, proposal or quote, including the primary bidder, and submit this information to the Authority no later than 4:00 p.m. on the 2nd business day after the Authority's bid due date, or as otherwise specified in the solicitation. The Authority will utilize this information to assist in the Authority's DBE goal-setting process.

Prime Name and Location	Type of Work/Services/Materials Provided:	Subcontract Amount	Percentage of Bid Item Sub-contracted	Contractor License No.	DBE (Y/N)	Phone:	Annual Gross Receipts
	NAICS/WCC			DIR Reg Number	DBE Certification ID	E-mail:	
Prime Bidder:							<input type="checkbox"/> Less than \$1 million
Contact Name:							<input type="checkbox"/> Less than \$5 million
Address:							<input type="checkbox"/> Less than \$10 million
							<input type="checkbox"/> Less than \$15 million
							<input type="checkbox"/> More than \$15 million
							Age of Firm: _____yrs.

Subcontractor Name and Location	Type of Work/Services/Materials Provided:	Subcontract Amount	Percentage of Bid Item Sub-contracted	Contractor License No.	DBE (Y/N)	Phone:	Annual Gross Receipts
	NAICS/WCC			DIR Reg Number	DBE Certification ID	E-mail:	
Firm Name:							<input type="checkbox"/> Less than \$1 million
Contact Name:							<input type="checkbox"/> Less than \$5 million
Address:							<input type="checkbox"/> Less than \$10 million
							<input type="checkbox"/> Less than \$15 million
							<input type="checkbox"/> More than \$15 million
							Age of Firm: _____yrs.

Key Procurement Information

- Discussions today are non-binding, all changes via written addendum
- Addendum will be issued shortly and will contain a copy of the Pre-Bid Conference sign-in sheets
- Award based on prime-sub relationship, not joint ventures
- Prime Contractor must perform at least 10% of the work
- Contract Type: Firm Fixed Price (lump sum)
- Award Type: Lowest, Responsible, Responsive Bid
- Single Contract Award
- Funded with Federal Transit Administration funds
- DBE goal is 11%

Key Procurement Information

- Authority reserves the right to:
 - accept or reject any and all bids, or any item or part thereof, or to waive any informalities or irregularities in bids;
 - withdraw or cancel the IFB at any time without prior written notice;
 - issue a new IFB for the project;
 - postpone bid opening for its own convenience;
 - investigate the qualifications of any bidder and/or require additional evidence of qualifications to perform the work
- Bids received are considered public information and are not to be copyrighted

Written Questions/Approved Equals

- Written Question Deadline: April 2, 2024 5:00 P.M.
- Approved Equal Request Deadline: April 2, 2024 5:00 P.M.
- All requests must be in writing and submitted to:
Michael Le, Senior Contract Administrator
E-mail recommended: mle1@octa.net

Written Questions/Approved Equals

- All requests must be clearly labeled “Written Questions”
- Approved Equal Requests must be fully supported with technical data, test results or other information as evidence that the substitute offered is equal to or better than the specification requirements
- All changes to procurement document will be by written addenda only.
- Verbal discussions today are non-binding
- Addenda will be issued via CAMM NET

Bid Document Submission Checklist

- A Bid Document Submission Checklist is included in Bid Booklet 2 which is a list of all documents required to be submitted with the bid.
- Documents in the Bid Booklet 2 must be complete, fully executed, notarized where appropriate as required in the bid documents in order to render the bid responsive.

Required Bid Submission

Bid Booklet No. 2 is to be submitted to the Authority in its entirety

☐ **Bid Form**

- in its entirety (all pages 1 thru 4), all information completed
- acknowledgement of all addenda
- signed, dated, corporate seal

☐ **Bid Security Form (Bid Bond or Check)**

- correct bid number
- signed & dated
- notarized (bid bond)

Required Bid Submission

☐ **Information Required of Bidder**

- provide all information requested
- signature to certify information is true and correct

☐ **Bidders Certificate of Compliance Regarding Workers Compensation Insurance**

- signed and dated

☐ **Bidders Certificate of Compliance Regarding State of California Business and Professions Code Section 7028.15**

- signed, dated, notarized

Required Bid Submission

☐ **List of Subcontractors (Exhibit D)**

- all subcontractors that will provide ½ of 1% of the bid amount
- License Number- address/ name should match that associated with License # on CSLB website
- DIR Registration Number
- Description of work (one subcontractor for each portion)
- Dollar amount
- Type – Leave Blank
- Bidders name at bottom of Form

Required Bid Submission

☐ **Status of Past and Present Contracts Form**

- signature to affirm information is true and correct/date
- if no information to report then mark as “none”, sign and date

☐ **Non-Collusion Declaration**

- signature required to certify non collusion/ date

☐ **DBE Participation Commitment Form**

- list information required for all DBE subcontractors (no minimum dollar threshold)
- sign and date form; Due with bid

☐ **DBE Letter of Acknowledgement and Commitment**

- Fill in the form with the information requested
- DBE firm to sign the form

Required Bid Submission

☐ **Good Faith Efforts**

- form/ information due no later than 4:00 P.M. on 2nd day after bid due date

☐ **Bidders List**

- list all firms that bid, propose, or quote
- due no later than 4:00 P.M. on 2nd day after bid due date

☐ **Iran Contracting Act Certification (Bids over \$1,000,000 only)**

- certify with signature and date
- sign and date only one option (#1 or #2)

Required Bid Submission

☐ Bidders Certificate Regarding “Buy America” requirements for Steel, Iron, or Manufactured Products

- select and sign and date only one option, “comply” or “cannot comply”

☐ Certification of Restrictions on Lobbying

- certify compliance with signature and date

☐ Disclosure of Lobbying Activities

- report lobbying activities (if any)

- if None, state “None or “NA” on form and, sign, date, and submit with bid

Agreement

Agreement – Section VI of IFB

- a bid submittal is the understanding and acceptance of the terms and conditions of the contractual requirements
- no exceptions or deviations are permitted

Agreement

Bidders are encouraged to review:

- Article 4. Delivery and Recovery Schedule: 910 calendar days
- Article 7. Prompt Payment Clause: flow down requirement
- Article 11. Insurance: required within 10 calendar days of notification of award
- Article 12. Bonds: required within 10 calendar days of notification of award
- Article 20. Assignment and Subcontracts: Subletting and Subcontractors Fair Practices Act
- Article 29. Disadvantaged Business Enterprises
- Article 38. Liquidated Damages: \$1,200 per calendar day

Agreement

Bidders are encouraged to review:

- Article 63. Health and Safety Specifications

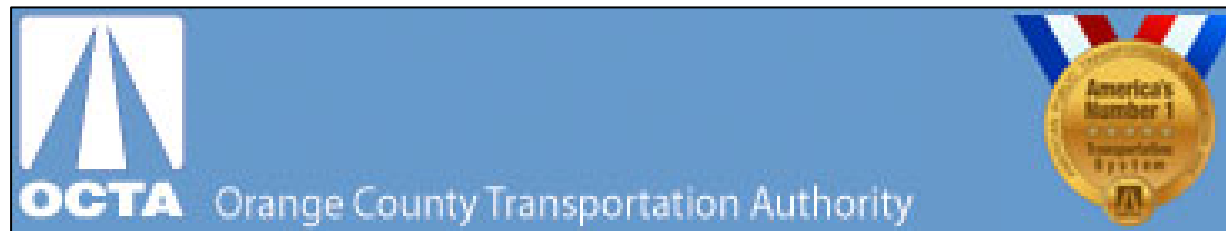
 - Level 3 Health Safety and Environmental Specifications
(Section X of IFB)

 - Required submittals within 30 days of Notice to Proceed

 - Approved submittals required for mobilization



Health, Safety and Environment (HSE)



Regulatory and Safety

- Please review OCTA's Level 3 Health, Safety and Specifications for required submittal documentation. Plan to prepare and submit in a timely manner in advance of the work the required safety submittal documentation.
- OCTA compliance review of Contractor safety submittal documentation is required prior to Contractor mobilizing and performing work on OCTA property.
- An on-site Health, Safety, and Environmental (HSE) Representative compliant with requirements of OCTA's Level 3 HSE Specifications is required to be at the job site at all time during scope site activities. Multiple active scope locations shall require a qualified HSE Representative for each separate worksite location.
- The Contractor's designated HSE Representative is a key position and is expected to participate in the initial pre-mobilization kick-off meeting.

Regulatory and Safety (Cont.)

Submittals

- Company's Injury Illness Prevention Program, CCR Title 8, 3203
- Company HSE Policy/Procedure Manual
- Substance Abuse Prevention Program
- Hazard Communication Program, CCR Title 8, 5194
- Company Heat Illness Prevention Program, CCR Title 8, 3395
- NFPA 70-E training certificate(s)
- Health, Safety Environmental (HSE) Representative Resume
- A Detailed Site Specific HSE Work Implementation Plan
- Public Hazard Control Plan (ANSI/ASSE A10.34) (as Necessary)
- Storm Water Pollution Prevention Plan (SWPPP), Qualified QSP

Regulatory and Safety (Cont.)

- Established programs and plans shall comply with California Code of Regulations (CCR) Title 8 regulatory standards, and applicable regulatory requirements.
- The Contractor's designated HSE representative shall review and acknowledge by signature the Contractor's submitted HSE documents prior to formal transmitting to the Authority for review, submittals should be in a complete submittal package.
- Contractor employees shall comply with scope training requirements (i.e., SCRRA, BNSF, HAZWOPER, etc.) as determined by scope hazards.
- At the determination of the Authority Project Manager, development of project specific HSE plans may require a Certified Safety Professional (CSP) in current standing with the Board of Certified Safety Professionals (BCSP), or a Certified Industrial Hygienist (CIH) in current standing with American Board of Industrial Hygiene (ABIH) that has experience developing compliant written HSE scope policies, programs and procedures in the State of California (i.e., Site Specific HSE Work Plans, Rail Compliance, JHA's, Environmental Plans, Crane & Hoisting Plans, etc.).

Regulatory and Safety – Capital Program BCSP Certifications

Certified Safety Professional (/Certifications/Certified-Safety-Professional)



A Certified Safety Professional or CSP is a safety professional who has met education and experience standards, has demonstrated by examination the knowledge that applies to professional safety practice, continues to meet Recertification requirements established by the Board of Certified Safety Professionals, and is authorized by BCSP to hold the Certified Safety Professional certification.

Associate Safety Professional (/Certifications/Associate-Safety-Professional)



An Associate Safety Professional or ASP is a certification awarded by BCSP. It denotes that an individual has met academic requirements and has passed the ASP examination—an examination that leads to the CSP.

Construction Health and Safety Technician (/Certifications/Construction-Health-and-Safety-Technician)



Construction Health and Safety Technician or CHST is a certification awarded to safety practitioners who meet and continue to meet all requirements established by BCSP. BCSP awards CHST certification to individuals who demonstrate competency and work part-time or full-time in health and safety activities devoted to the prevention of construction illness and injuries.

Source: <http://bcsp.org/Certifications>

Regulatory and Safety (Cont.)

Monthly Safety Report Submittal:

- A monthly accident/incident summary report that includes number of workers on project, a list of subcontractors, work hours (month, year to date, & project cumulative) of each contractor, labor designation, OSHA Recordable injuries and illnesses segregated by medical treatment cases, restricted workday cases, number of restricted days, lost workday cases, and number of lost work days, and recordable incident rate.

Regulatory and Safety (Cont.)

- Implementation and compliance with Storm Water Best Management Practices (SWPPP BMP's) is required.
- Contractor shall prepare and submit a project best management practices (BMP) plan for OCTA's review and acceptance and the Contractor shall implement its BMP plan and maintain the BMPs for the duration of the project.

Scope of Work

Scope of Work

(Refer to IFB Documents, Exhibits, Attachments)

OCTA Project Manager

Will Provide Project Overview and Scope of Work



OCTA Transit Security and Operations Center

Project Overview

- The Transit Security and Operations Center (TSOC) will be a new facility designed to California essential services building standards for current and future operational needs and requirements
- Operational user groups in TSOC:
 - ✓ Emergency Operation Center (EOC)
 - ✓ Central Communications (Dispatch)
 - ✓ Field Operations (Transit)
 - ✓ Security and Emergency Preparedness (SEP)
 - ✓ Transit Police (TPS)

Site Location- Vicinity Map



NOTE: LOT LINE TO BE MERGED UNDER LOT LINE ADJUSTMENT APPLICATION/PROCESS

Site Address:
1460 West Lincoln
Anaheim, CA 92801



Site Location



* For presentation purposes only. Not all details shown on the rendering.

Project Scope of Work

The work under this contract consists of constructing the Transit Security and Operations Center (TSOC).

TSOC construction work includes, but is not limited to:

- TSOC building
- On-site developments
- Off-site developments
- All environmental work Mitigation Monitoring and Reporting Program (MMRP)
- Building commissioning
- And all other related work and required work in contract documents.

Refer to contract documents for a complete scope of work.

Project Scope of Work (Cont'd)

Deferred submittals to Building department:

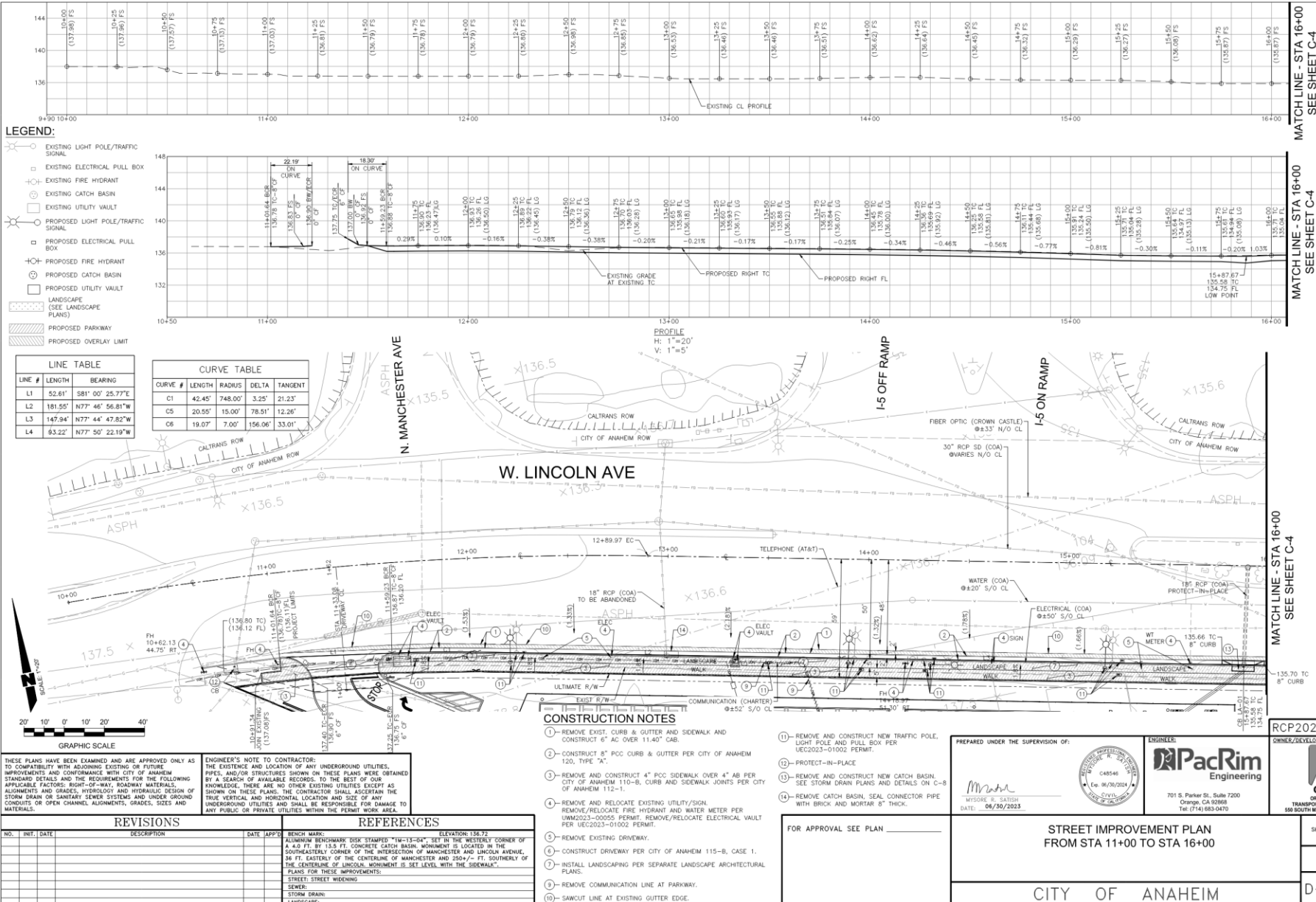
- Metal stairs and railing
- Photovoltaic panels and support systems

Deferred submittals to Fire department:

- Fire sprinkler systems
- Fire alarm systems
- Elevator recall and two-way communication systems
- Public fire hydrant and underground fire line
- Emergency responder radio coverage systems

Refer to contract documents for a complete scope of work.

Off-site Developments

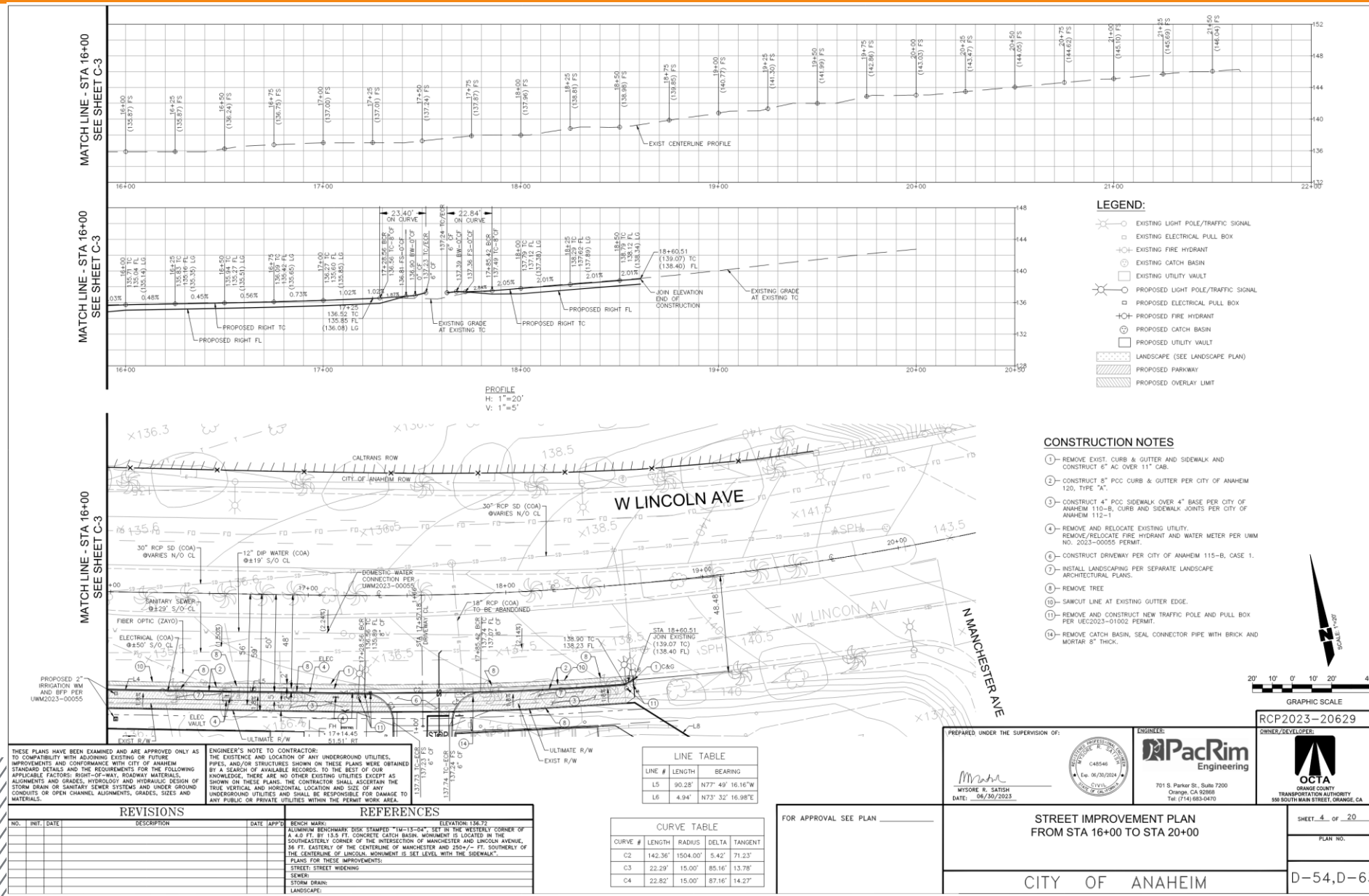


Refer to contract documents for a complete off-site development scope of work.

* Not to Scale. For presentation purposes only.

Off-site Developments (Cont'd)

IFB 4-2097
ADDENDUM NO. 1
ATTACHMENT A

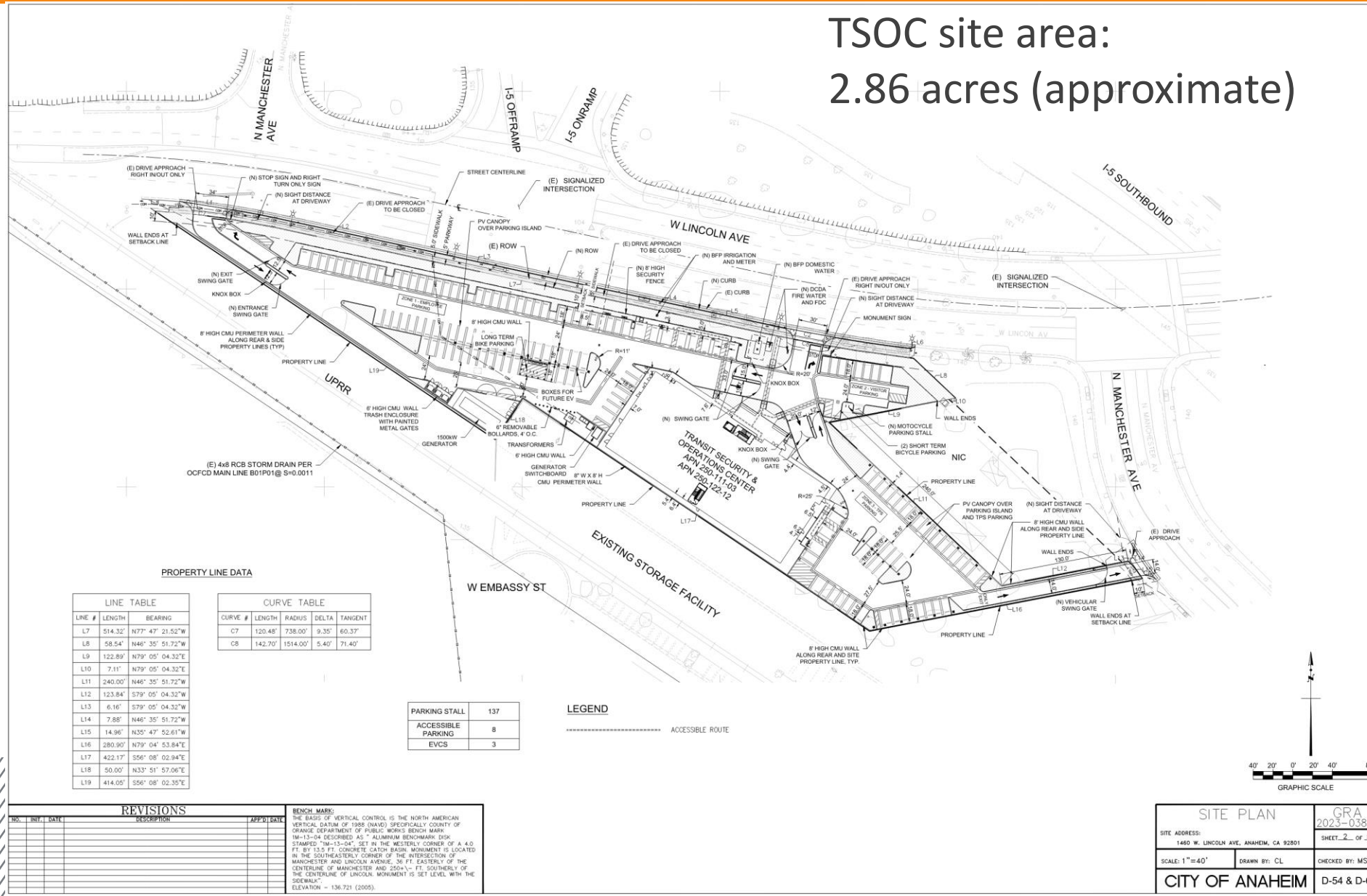


Refer to contract documents for a complete off-site development scope of work.

* Not to Scale. For presentation purposes only.

On-site Developments - Site Plan

TSOC site area:
2.86 acres (approximate)



Refer to contract documents for a complete on-site development scope of work.

* Not to Scale. For presentation purposes only.

On-site Developments – PV panels

Construction of photovoltaic panels and support systems:

- Deferred submittals are required per contract documents.
- Photovoltaic panels shall be installed on parking lots as shown on the plans as well as on TSOC building roof to the maximum capacity allowed.

TSOC Building Construction



Two-story building

Building height: 35 feet (approximate)

Building footprint: 20,000 square feet (approximate)

Building gross area: 30,000 square feet (approximate)

* For presentation purposes only. Not all details shown on the rendering.

Front View - Main Entrance



* For presentation purposes only. Not all details shown on the rendering.

Transit Police (TPS) Entrance – Looking West Rendering



* For presentation purposes only. Not all details shown on the rendering.

Looking East Rendering



* For presentation purposes only. Not all details shown on the rendering.

Summary of Project (cont.)

- City permits are required.
- Contractor is responsible to obtain and pay all costs for permits.
- Plan check and permit fees will be reimbursed to Contractor by OCTA at actual permit fee receipts without Contractor's mark up or labor, under bid amount allowance.
- Contractor shall submit a copy of the approved permit plans to OCTA for records.
- Contractor shall submit a copy of the permits and relevant permit cards to OCTA prior to start of construction.

Summary of Project (cont.)

- Contractor shall include all premium costs to complete the work.
- Contractor and its subcontractors shall obtain and include/pay for costs for City business licenses.
- Normal work time windows for work on the project site and off-site work shall be in compliance with City of Anaheim regulations and requirements. Also comply with other agencies having jurisdiction over the project.

Summary of Project (cont.)

- Contractor shall provide and maintain its own field office, sanitary facilities, breakroom/lunchroom, temporary facilities and utilities within the project site without obstruction to construction activities.
- Contractor shall provide a signage identifying Project at the project site, including project name, contractor name and contact information, and finish date of project.
- Contractor and its staff and visitors are required to check-in with Contractor's field office at beginning of each work shift and each site visit and check-out when leaving the site. Contractor shall maintain a record of all check-in/check-out.
- Contractor and its staff/visitors shall comply with health, safety and environmental requirements while working/visiting the job site.

- Questions?



- Reminder.... Bids are due by 11:00 A.M. on June 3, 2024
- Bid Opening Instructions:
 - Hand delivered: must be time stamped at OCTA Lobby Reception
 - Public Bid Opening: will be held in Conference Room 09 and via teleconference using the following login credentials:
 - Call-in Number: 1-916-550-9867
 - Conference ID: 349501968#
 - Callers are requested to dial in and mute the call.
- Please register on CAMM NET
- Thank you for your interest in OCTA!



PRE-BID CONFERENCE REGISTRATION

IFB No. 4-2097

Date: March 19, 2024

Title: Construction of Transit Security and Operations Center

-
1. Company Name: _____
- Attendee: _____
- Address: _____
- City, State Zip: _____
- Phone Number: () _____ Registered on CAMM NET? ☐ Yes ☐ No
- Prime ☐ Sub ☐
- E-Mail Address: _____
-
2. Company Name: _____
- Attendee: _____
- Address: _____
- City, State Zip: _____
- Phone Number: () _____ Registered on CAMM NET? ☐ Yes ☐ No
- Prime ☐ Sub ☐
- E-Mail Address: _____
-
3. Company Name: _____
- Attendee: _____
- Address: _____
- City, State Zip: _____
- Phone Number: () _____ Registered on CAMM NET? ☐ Yes ☐ No
- Prime ☐ Sub ☐
- E-Mail Address: _____