

REQUEST FOR PROPOSALS (RFP) 3-3020

ACTIVE TRANSPORTATION OUTREACH AND ENGAGEMENT SUPPORT



**ORANGE COUNTY TRANSPORTATION AUTHORITY
550 South Main Street
P.O. Box 14184
Orange, CA 92863-1584
(714) 560-6282**

Key RFP Dates

Issue Date:	January 17, 2024
Question Submittal Date:	January 25, 2024
Proposal Submittal Date:	February 13, 2024
Interview Date:	March 7, 2024

**FUNDED BY THE REGIONAL EARLY ACTION
PLANNING GRANTS OF 2021 (REAP 2.0)**

TABLE OF CONTENTS

SECTION I: INSTRUCTIONS TO OFFERORS	1
SECTION II: PROPOSAL CONTENT	8
SECTION III: EVALUATION AND AWARD	15
EXHIBIT A: SCOPE OF WORK.....	18
EXHIBIT B: COST AND PRICE FORMS	19
EXHIBIT C: PROPOSED AGREEMENT	20
EXHIBIT E: SAFETY SPECIFICATIONS	23
EXHIBIT F: PROPOSAL EXCEPTIONS AND/OR DEVIATIONS.....	24



January 17, 2024

NOTICE OF REQUEST FOR PROPOSALS (RFP)

RFP 3-3020: “ACTIVE TRANSPORTATION OUTREACH AND ENGAGEMENT SUPPORT”

TO: ALL OFFERORS

FROM: ORANGE COUNTY TRANSPORTATION AUTHORITY

The Orange County Transportation Authority (Authority or OCTA) invites proposals from qualified consultants to provide active transportation outreach and engagement support.

The budget for this project is \$400,000 for an twenty (20)-month term.

Please note that by submitting a Proposal, Offeror certifies that it is not subject to any Ukraine/Russia-related economic sanctions imposed by the State of California or the United States Government including, but not limited to, Presidential Executive Order Nos. 13660, 13661, 13662, 13685, and 14065. Any individual or entity that is the subject of any Ukraine/Russia-related economic sanction is not eligible to submit a Proposal. In submitting a Proposal, all Offerors agree to comply with all economic sanctions imposed by the State or U.S. Government.

Proposals must be submitted, electronically, through the following URL link: <http://www.octa.net/Proposal Upload Link>, at or before the deadline of 2:00 p.m. on February 13, 2024. The link has an upload file size limit of 80MB. Authority will not accept hard copy proposals for this RFP.

Offerors are instructed to click the upload link, select “**RFP 3-3020**” from the drop-down menu, and follow the instructions as prompted to upload the proposal. The upload link will expire at the submittal deadline and will not allow proposals to be uploaded.

Should Offerors encounter technical issues with uploading the proposals via the link provided, Offerors are required to contact the Contract Administrator prior to the submission deadline. Proposals and supplemental information to proposals received after the date and time specified above will be rejected.

Firms interested in obtaining a copy of this RFP may do so by downloading the RFP from CAMM NET at <https://cammnet.octa.net>.

All firms interested in doing business with the Authority are required to register their business on-line at CAMM NET. The website can be found at <https://cammnet.octa.net>. From the site menu, click on CAMM NET to register.

To receive all further information regarding this RFP 3-3020, firms and subconsultants must be registered on CAMM NET with at least one of the following commodity codes for this solicitation selected as part of the vendor's on-line registration profile:

<u>Category:</u>	<u>Commodity:</u>
Professional Consulting	Consultant Services - Transit Planning
	Consultant Services - Transportation Planning
	Traffic Planning Consulting
Professional Services	Engineering - Traffic
	Transit Management Services
	Transportation Service Providers

The Authority has established March 7, 2024, as the date to conduct interviews. All prospective Offerors will be asked to keep this date available.

Offerors are encouraged to subcontract with small businesses to the maximum extent possible.

All Offerors will be required to comply with all applicable equal opportunity laws and regulations.

The award of this contract is subject to receipt of federal, state and/or local funds adequate to carry out the provisions of the proposed agreement including the identified Scope of Work.

Offerors are advised that this Project is funded by the Regional Early Action Planning Grants of 2021 (REAP 2.0) administered by the Southern California Association of Governments (SCAG) REAP 2.0. Consultant proposals and supporting documents for the project contract may be subject to audit or review by SCAG.

SECTION I: INSTRUCTIONS TO OFFERORS

SECTION I. INSTRUCTIONS TO OFFERORS**A. EXAMINATION OF PROPOSAL DOCUMENTS**

By submitting a proposal, Offeror represents that it has thoroughly examined and become familiar with the work required under this RFP and that it is capable of performing quality work to achieve the Authority's objectives.

B. ADDENDA

The Authority reserves the right to revise the RFP documents. Any Authority changes to the requirements will be made by written addendum to this RFP. Any written addenda issued pertaining to this RFP shall be incorporated into the terms and conditions of any resulting Agreement. The Authority will not be bound to any modifications to or deviations from the requirements set forth in this RFP as the result of oral instructions. Offerors shall acknowledge receipt of addenda in their proposals. Failure to acknowledge receipt of Addenda may cause the proposal to be deemed non-responsive to this RFP and be rejected.

C. AUTHORITY CONTACT

All communication and/or contacts with Authority staff regarding this RFP are to be directed to the following Contract Administrator:

Luis Martinez, Senior Contract Administrator
Contracts Administration and Materials Management Department
Phone: 714.560.5486
E-mail: lmartinez1@octa.net

Commencing on the date of the issuance of this RFP and continuing until award of the contract or cancellation of this RFP, no offeror, subcontractor, lobbyist or agent hired by the offeror shall have any contact or communications regarding this RFP with any Authority's staff; member of the evaluation committee for this RFP; or any contractor or consultant involved with the procurement, other than the Contract Administrator named above or unless expressly permitted by this RFP. Contact includes face-to-face, telephone, electronic mail (e-mail) or formal written communication. Any offeror, subcontractor, lobbyist or agent hired by the offeror that engages in such prohibited communications may result in disqualification of the offeror at the sole discretion of the Authority.

D. CLARIFICATIONS**1. Examination of Documents**

Should an Offeror require clarifications of this RFP, the Offeror shall notify the Authority in writing in accordance with Section D.2. below. Should it be found that the point in question is not clearly and fully set forth, the Authority

will issue a written addendum clarifying the matter which will be sent to all firms registered on CAMM NET under the commodity codes specified in this RFP.

2. Submitting Requests

- a. All questions must be put in writing and received via e-mail at lmartinez1@octa.net no later than 5:00 p.m., on January 25, 2024.
- b. Requests for clarifications, questions and comments must be clearly labeled, "Written Questions RFP 3-3020" in the subject line of the e-mail. The Authority is not responsible for failure to respond to a request that has not been labeled as such.

3. Authority Responses

Responses from the Authority will be posted on CAMM NET, no later than January 30, 2024. Offerors may download responses from CAMM NET at <https://cammnet.octa.net>, or request responses be sent via e-mail.

To receive e-mail notification of Authority responses when they are posted on CAMM NET, firms and subconsultants must be registered on CAMM NET with at least one of the following commodity codes for this solicitation selected as part of the vendor's on-line registration profile:

Category:

Professional Consulting

Professional Services

Commodity:

Consultant Services - Transit Planning
 Consultant Services - Transportation Planning
 Traffic Planning Consulting
 Engineering - Traffic
 Transit Management Services
 Transportation Service Providers

Inquiries received after 5:00 p.m. on January 25, 2024 will not be responded to.

E. SUBMISSION OF PROPOSALS

1. Date and Time

Proposals must be submitted, electronically, through the following URL link: <http://www.octa.net/Proposal Upload Link>, at or before the deadline of **2:00 p.m. on February 13, 2024. The link has an upload file size limit of 80MB. Authority will not accept hard copy proposals for this RFP.**

Offerors are instructed to click the upload link, select “**RFP 3-3020**” from the drop-down menu, and follow the instructions as prompted to upload the proposal. The upload link will expire at the submittal deadline and will not allow proposals to be uploaded.

Should Offerors encounter technical issues with uploading the proposals via the link provided, Offerors are required to contact the Contract Administrator prior to the submission deadline. Proposals and supplemental information to proposals received after the date and time specified above will be rejected.

2. Acceptance of Proposals

- a. The Authority reserves the right to accept or reject any and all proposals, or any item or part thereof, or to waive any informalities or irregularities in proposals.
- b. The Authority reserves the right to withdraw or cancel this RFP at any time without prior notice and the Authority makes no representations that any contract will be awarded to any Offeror responding to this RFP.
- c. The Authority reserves the right to issue a new RFP for the project.
- d. The Authority reserves the right to postpone proposal openings for its own convenience.
- e. Each proposal will be received with the understanding that acceptance by the Authority of the proposal to provide the services described herein shall constitute a contract between the Offeror and Authority which shall bind the Offeror on its part to furnish and deliver at the prices given and in accordance with conditions of said accepted proposal and specifications.
- f. The Authority reserves the right to investigate the qualifications of any Offeror, and/or require additional evidence of qualifications to perform the work.
- g. Submitted proposals are not to be copyrighted.

F. PRE-CONTRACTUAL EXPENSES

The Authority shall not, in any event, be liable for any pre-contractual expenses incurred by Offeror in the preparation of its proposal. Offeror shall not include any such expenses as part of its proposal.

Pre-contractual expenses are defined as expenses incurred by Offeror in:

1. Preparing its proposal in response to this RFP;
2. Submitting that proposal to the Authority;
3. Negotiating with the Authority any matter related to this proposal; or
4. Any other expenses incurred by Offeror prior to date of award, if any, of the Agreement.

G. JOINT OFFERS

Where two or more firms desire to submit a single proposal in response to this RFP, they should do so on a prime-subcontractor basis rather than as a joint venture. The Authority intends to contract with a single firm and not with multiple firms doing business as a joint venture.

H. TAXES

Offerors' proposals are subject to State and Local sales taxes. However, the Authority is exempt from the payment of Federal Excise and Transportation Taxes. Offeror is responsible for payment of all taxes for any goods, services, processes and operations incidental to or involved in the contract.

I. PROTEST PROCEDURES

The Authority has on file a set of written protest procedures applicable to this solicitation that may be obtained by contacting the Contract Administrator responsible for this procurement. Any protests filed by an Offeror in connection with this RFP must be submitted in accordance with the Authority's written procedures.

J. CONTRACT TYPE

It is anticipated that the Agreement resulting from this solicitation, if awarded, will be a firm-fixed price contract specifying firm-fixed prices for individual tasks specified in the Scope of Work, included in this RFP as Exhibit A. The term of the Agreement will be twenty (20) months.

K. CONFLICT OF INTEREST

All Offerors responding to this RFP must avoid organizational conflicts of interest which would restrict full and open competition in this procurement. An organizational conflict of interest means that due to other activities, relationships or contracts, an Offeror is unable, or potentially unable to render impartial assistance or advice to the Authority; an Offeror's objectivity in performing the work identified in the Scope of Work is or might be otherwise impaired; or an Offeror has an unfair competitive advantage. Conflict of Interest issues must be fully disclosed in the Offeror's proposal.

All Offerors must disclose in their proposal and immediately throughout the course of the evaluation process if they have hired or retained an advocate to lobby Authority staff or the Board of Directors on their behalf.

Offerors hired to perform services for the Authority are prohibited from concurrently acting as an advocate for another firm who is competing for a contract with the Authority, either as a prime or subcontractor.

L. CODE OF CONDUCT

All Offerors agree to comply with the Authority's Code of Conduct as it relates to Third-Party contracts which is hereby referenced and by this reference is incorporated herein. All Offerors agree to include these requirements in all of its subcontracts.

M. OWNERSHIP OF RECORDS/PUBLIC RECORDS ACT

All proposals and documents submitted in response to this RFP shall become the property of the Authority and a matter of public record pursuant to the California Public Records Act, Government Code sections 7922.000 et seq. (the "Act"). Offerors should familiarize themselves with the provisions of the Act requiring disclosure of public information. Offerors are discouraged from marking their proposal documents as "confidential" or "proprietary."

If a Proposal does include "confidential" or "proprietary" markings and the Authority receives a request pursuant to the Act, the Authority will endeavor (but cannot guarantee) to notify the Offeror of such a request. In order to protect any information submitted within a Proposal, the Offeror must pursue, at its sole cost and expense, any and all appropriate legal action necessary to maintain the confidentiality of such information. The Authority generally does not consider pricing information, subcontractor lists, or key personnel, including resumes, as being exempt from disclosure under the Act. In no event shall the Authority or any of its officers, directors, employees, agents, representatives, or consultants be liable to a Offeror for the disclosure of any materials or information submitted in response to the RFP or by failing to notify a Offeror of a request seeking its Proposal. The Authority reserves the right to make an independent decision to disclose records and material.

Notwithstanding the above, all information regarding proposal responses will be held as confidential until such time as the evaluation has been completed; an award has been made by the Board of Directors or Authority Staff, as appropriate; and the contract has been fully negotiated.

N. STATEMENT OF ECONOMIC INTERESTS

The awarded Offeror (including designated employees and subconsultants) may be required to file Statements of Economic Interests (Form 700) in accordance with the Political Reform Act (Government Code section 81000 et seq.). This applies to individuals who make, participate in making, or act in a staff capacity for making governmental decisions. The AUTHORITY determines which individuals are required to file a Form 700, and if such determination is made, the individuals must file Form 700s with the AUTHORITY's Clerk of the Board no later than 30 days after the execution of the Agreement, annually thereafter for the duration of the Agreement, and within 30 days of termination of the Agreement.

SECTION II: PROPOSAL CONTENT

SECTION II. PROPOSAL CONTENT

A. PROPOSAL FORMAT AND CONTENT

1. Format

Proposals should be typed with a standard 12-point font, double-spaced. Proposals should not include any unnecessarily elaborate or promotional materials. Proposals should not exceed fifty (50) pages in length, excluding any appendices, cover letters, resumes, or forms.

2. Letter of Transmittal

The Letter of Transmittal shall be addressed to Luis Martinez, Senior Contract Administrator, and must, at a minimum, contain the following:

- a. Identification of Offeror that will have contractual responsibility with the Authority. Identification shall include legal name of company, corporate address, telephone and fax number, and e-mail address. Include name, title, address, e-mail address, and telephone number of the contact person identified during period of proposal evaluation.
- b. Identification of all proposed subcontractors including legal name of company, contact person's name and address, phone number and fax number, and e-mail address; relationship between Offeror and subcontractors, if applicable.
- c. Acknowledgement of receipt of all RFP addenda, if any.
- d. A statement to the effect that the proposal shall remain valid for a period of not less than 120 days from the date of submittal.
- e. Signature of a person authorized to bind Offeror to the terms of the proposal.
- f. Signed statement attesting that all information submitted with the proposal is true and correct.

3. Technical Proposal

a. Qualifications, Related Experience and References of Offeror

This section of the proposal should establish the ability of Offeror to satisfactorily perform the required work by reasons of: experience in performing work of a similar nature; demonstrated competence in the services to be provided; strength and stability of the firm; staffing

capability; work load; record of meeting schedules on similar projects; and supportive client references.

Offeror to:

- (1) Provide a brief profile of the firm, including the types of services offered; the year founded; form of the organization (corporation, partnership, sole proprietorship); number, size and location of offices; and number of employees.
- (2) Provide a general description of the firm's financial condition and identify any conditions (e.g., bankruptcy, pending litigation, planned office closures, impending merger) that may impede Offeror's ability to complete the project.
- (3) Describe the firm's experience in performing work of a similar nature to that solicited in this RFP, and highlight the participation in such work by the key personnel proposed for assignment to this project.
- (4) Identify subcontractors by company name, address, contact person, telephone number, e-mail, and project function. Describe Offeror's experience working with each subcontractor.
- (5) Identify all firms hired or retained to provide lobbying or advocating services on behalf of the Offeror by company name, address, contact person, telephone number and e-mail address. This information is required to be provided by the Offeror immediately during the evaluation process, if a lobbyist or advocate is hired or retained.
- (6) Provide as a minimum three (3) references for the projects cited as related experience, and furnish the name, title, address, telephone number, and e-mail address of the person(s) at the client organization who is most knowledgeable about the work performed. Offeror may also supply references from other work not cited in this section as related experience.

b. Proposed Staffing and Project Organization

This section of the proposal should establish the method, which will be used by the Offeror to manage the project, as well as identify key personnel assigned.

Offeror to:

- (1) Identify key personnel proposed to perform the work in the specified tasks and include major areas of subcontract work. Include the person's name, current location, proposed position for this project, current assignment, level of commitment to that assignment, availability for this assignment and how long each person has been with the firm.
- (2) Furnish brief resumes (not more than two [2] pages each) for the proposed Project Manager and other key personnel that includes education, experience, and applicable professional credentials.
- (3) Indicate adequacy of labor resources utilizing a table that, at a minimum, identifies the following information: a) the individual project tasks; b) specify who would perform them; and c) the number of hours anticipated for each member of the project staff.
- (4) Include a project organization chart, which clearly delineates communication/reporting relationships among the project staff.
- (5) Include a statement that key personnel will be available to the extent proposed for the duration of the project acknowledging that no person designated as "key" to the project shall be removed or replaced without the prior written concurrence of the Authority.

c. Work Plan

Offeror should provide a narrative, which addresses the Scope of Work, and shows Offeror's understanding of Authority's needs and requirements.

Offeror to:

- (1) Describe the approach to completing the tasks specified in the Scope of Work. The approach to the work plan shall be of such detail to demonstrate the Offeror's ability to accomplish the project objectives and overall schedule.
- (2) Outline sequentially the activities that would be undertaken in completing the tasks and specify who would perform them.
- (3) Furnish a project schedule for completing the tasks in terms of elapsed weeks.

- (4) Identify methods that Offeror will use to ensure quality control, as well as budget and schedule control for the project.
- (5) Identify any special issues or problems that are likely to be encountered in this project and how the Offeror would propose to address them.
- (6) Offeror is encouraged to propose enhancements or procedural or technical innovations to the Scope of Work that do not materially deviate from the objectives or required content of the project.

d. Exceptions/Deviations

State any technical and/or contractual exceptions and/or deviations from the requirements of this RFP, including the Authority's technical requirements and contractual terms and conditions set forth in the Scope of Work (Exhibit A) and Proposed Agreement (Exhibit C), using the form entitled "Proposal Exceptions and/or Deviations" included in this RFP. This Proposal Exceptions and/or Deviations form (Exhibit F) must be included in the original proposal submitted by the Offeror. If no technical or contractual exceptions and/or deviations are submitted as part of the original proposal, Offerors are deemed to have accepted the Authority's technical requirements and contractual terms and conditions set forth in the Scope of Work (Exhibit A) and Proposed Agreement (Exhibit C). Offerors will not be allowed to submit the Proposal Exceptions and/or Deviations form (Exhibit F) or any technical and/or contractual exceptions after the proposal submittal date identified in the RFP. Exceptions and/or deviations submitted after the proposal submittal date will not be reviewed by Authority.

All exceptions and/or deviations will be reviewed by the Authority and will be assigned a "pass" or "fail" status. Exceptions and deviations that "pass" do not mean that the Authority has accepted the change but that it is a potential negotiable issue. Exceptions and deviations that receive a "fail" status means that the requested change is not something that the Authority would consider a potential negotiable issue. Offerors that receive a "fail" status on their exceptions and/or deviations will be notified by the Authority and will be allowed to retract the exception and/or deviation and continue in the evaluation process. Any exceptions and/or deviation that receive a "fail" status and the Offeror cannot or does not retract the requested change may result in the firm being eliminated from further evaluation.

4. Cost and Price Proposal

As part of the cost and price proposal, the Offeror shall submit proposed pricing to provide the services for each work task described in Exhibit A, Scope of Work.

The Offeror shall complete the "Price Summary Sheet" form included with this RFP (Exhibit B), and furnish any narrative required to explain the prices quoted in the schedules. It is anticipated that the Authority will issue a firm-fixed price contract specifying firm-fixed prices for individual tasks.

5. Appendices

Information considered by Offeror to be pertinent to this project and which has not been specifically solicited in any of the aforementioned sections may be placed in a separate appendix section. Offerors are cautioned, however, that this does not constitute an invitation to submit large amounts of extraneous materials. Appendices should be relevant and brief.

B. FORMS

1. Status of Past and Present Contracts Form

Offeror shall complete and sign the form entitled "Status of Past and Present Contracts" provided in this RFP and submit as part of its proposal. Offeror shall identify the status of past and present contracts where the firm has either provided services as a prime vendor or a subcontractor during the past five (5) years in which the contract has been the subject of or may be involved in litigation with the contracting authority. This includes, but is not limited to, claims, settlement agreements, arbitrations, administrative proceedings, and investigations arising out of the contract. Offeror shall have an ongoing obligation to update the Authority with any changes to the identified contracts and any new litigation, claims, settlement agreements, arbitrations, administrative proceedings, or investigations that arise subsequent to the submission of Offeror's proposal.

A separate form must be completed for each identified contract. Each form must be signed by the Offeror confirming that the information provided is true and accurate.

2. Proposal Exceptions and/or Deviations Form

Offerors shall complete the form entitled "Proposal Exceptions and/or Deviations" provided in this RFP and submit it as part of the original proposal. For each exception and/or deviation, a new form should be used, identifying the exception and/or deviation and the rationale for requesting

the change. Exceptions and/or deviations submitted after the proposal submittal date will not be reviewed nor considered by the Authority.

SECTION III: EVALUATION AND AWARD

SECTION III. EVALUATION AND AWARD

A. EVALUATION CRITERIA

The Authority will evaluate the offers received based on the following criteria:

- 1. Qualifications of the Firm 25%**

 Technical experience in performing work of a closely similar nature; strength and stability of the firm; strength, stability, experience and technical competence of subcontractors; assessment by client references.
- 2. Staffing and Project Organization 25%**

 Qualifications of project staff, particularly key personnel and especially the Project Manager; key personnel's level of involvement in performing related work cited in "Qualifications of the Firm" section; logic of project organization; adequacy of labor commitment; concurrence in the restrictions on changes in key personnel.
- 3. Work Plan 30%**

 Depth of Offeror's understanding of Authority's requirements and overall quality of work plan; logic, clarity and specificity of work plan; appropriateness of resource allocation among the tasks; reasonableness of proposed schedule; utility of suggested technical or procedural innovations.
- 4. Cost and Price 20%**

 Reasonableness of the total price, as well as the individual tasks; competitiveness with other offers received; adequacy of data in support of figures quoted.

B. EVALUATION PROCEDURE

An evaluation committee will be appointed to review all proposals received for this RFP. The committee is comprised of Authority staff and may include outside personnel. The committee members will evaluate the written proposals using criteria identified in Section III A. A list of top-ranked proposals, firms within a competitive range, will be developed based upon the totals of each committee members' score for each proposal.

During the evaluation period, the Authority may interview some or all of the proposing firms. The Authority has established March 7, 2024, as the date to conduct interviews. All prospective Offerors are asked to keep this date available. No other interview dates will be provided, therefore, if an Offeror is unable to attend the interview on this date, its proposal may be eliminated from further discussion.

The interview may consist of a short presentation by the Offeror after which the evaluation committee will ask questions related to the firm's proposal and qualifications.

At the conclusion of the proposal evaluations, the evaluation committee will score the proposals to develop a competitive range. Offerors remaining within the competitive range may be asked to submit a Best and Final Offer (BAFO). In the BAFO request, the firms may be asked to provide additional information, confirm or clarify issues and submit a final cost/price offer. A deadline for submission will be stipulated.

At the conclusion of the evaluation process, the evaluation committee will recommend to Authority's management the Offeror with the highest final ranking or a short list of top ranked firms within the competitive range whose proposal(s) is most advantageous to the Authority.

C. AWARD

The Authority may also negotiate contract terms with the selected Offeror prior to award, and expressly reserves the right to negotiate with several Offerors simultaneously and, thereafter, to award a contract to the Offeror offering the most favorable terms to the Authority.

The Authority reserves the right to award its total requirements to one Offeror or to apportion those requirements among several Offerors as the Authority may deem to be in its best interest. In addition, negotiations may or may not be conducted with Offerors; therefore, the proposal submitted should contain Offeror's most favorable terms and conditions, since the selection and award may be made without discussion with any Offeror.

The selected Offeror will be required to submit to the Authority's Accounting department a current IRS W-9 form prior to commencing work.

D. NOTIFICATION OF AWARD AND DEBRIEFING

Offerors who submit a proposal in response to this RFP shall be notified via CAMM NET of the contract award. Such notification shall be made within three (3) business days of the date the contract is awarded.

Offerors who were not awarded the contract may obtain a debriefing concerning the strengths and weaknesses of their proposal. Unsuccessful Offerors, who wish to be debriefed, must request the debriefing in writing or electronic mail and the Authority must receive it within three (3) business days of notification of the contract award.

EXHIBIT A: SCOPE OF WORK

Scope of Work

Active Transportation Outreach and Engagement Support

INTRODUCTION

The Orange County Transportation Authority (OCTA) is engaged in a broad range of active transportation efforts consistent with the organization's mission to “Develop and deliver transportation solutions to enhance quality of life and keep Orange County Moving.” To better engage with the public on a regular basis, OCTA will deliver a range of education, engagement, and encouragement activities aimed at fostering a better environment for residents to use bicycling and walking as a means of transportation. The purpose of conducting education, engagement, and safety related events is to provide the residents of Orange County the tools they need to safely engage in walking and biking as a means of transportation, and operating vehicles safely around cyclists and pedestrians in Orange County.

The consultant (Consultant) will provide professional outreach support services to assist with planning and conducting bicycle and electric bicycle (e-bike) safety rodeos, coordinating and staffing outreach and tabling events to support various OCTA Active Transportation efforts, encouragement activities, virtual trainings, and safety equipment procurement. The scope of work includes estimated assignments and meeting frequency; however, administration of the work assignments will be dynamic and responsive to time-sensitive needs and priorities. The following scope of work has been developed with anticipated tasks.

The project is funded by the California Transportation Commission Active Transportation Program and Regional Early Action Planning Grants of 2021 (REAP 2.0) administered by the Southern California Association of Governments (SCAG). Consultant proposals and supporting documents for the project contract may be subject to audit or review by the California Department of Transportation (Caltrans) or SCAG.

Task 1: PROJECT ADMINISTRATION AND MANAGEMENT

Task 1.1 Project Kick-off Meeting

- Consultant shall develop agenda and materials for a kick-off meeting with OCTA staff to discuss the project background and potential support services. Administrative items will be discussed such as communication protocol, meeting frequency, progress reporting, scheduling, invoicing, and other relevant project information. A written summary of the meeting shall be provided with key action items identified.

Task 1.2 Project Management/Meetings

- Monthly Project Manager status meetings shall occur via Microsoft Teams to ensure regular and consistent communication on upcoming tasks, identifying potential risks and challenges to success. Participation in the monthly meetings is anticipated to include the OCTA Project

Manager, OCTA Staff, and Consultant Project Manager, and the meetings shall be brief and concise. The status meetings shall occur to ensure the project remains on time and within budget, and expectations are defined. Consultant shall develop a bulleted agenda and use a shared online spreadsheet to track action items. The duration of this project is assumed to be twenty (20) months.

- For all completed events Consultant shall develop a brief one-page memo summarizing the event and shall deliver the memo to OCTA Staff within two (2) weeks of all events. This memo shall include event attendance, photos and descriptions, public feedback received, issues encountered, and lessons learned.
- Consultant shall develop, populate, and share at project management meetings a database of all requested, planned, and completed events.

Task 1.3 REAP 2.0 Performance Reporting

- OCTA must show that each project funded through the California Transportation Commission (CTC) Partnership Program meets the evaluation metrics criteria for each of the three (3) REAP 2.0 Objectives. Below are the metrics by which the project team shall be reporting outcomes for the Active Transportation Outreach and Engagement Support Project.
- Consultant Team shall work with OCTA staff to develop a methodology to accurately report how the project works towards the below goals

Task	Task Title	Task Deliverable
Task 1.1	Project Kick-off Meeting	Project kick-off meeting including agenda and meeting notes
Task 1.2	Project Management/Meetings	Monthly project monthly team meetings, meeting agenda, notes and action items
Task 1.3	Performance Metrics	Develop methodology and report outcomes

Accelerating Infill Development that Facilitates Housing Supply, Choice, and Affordability	Affirmatively Furthering Fair Housing (AFFH)	Reducing Vehicle Miles Travelled
The project shall be measured by the number of residents reached within three (3) miles of housing units built within the last five (5) years.	The project shall be measured by the number of events delivered in disadvantaged communities.	The project shall be measured by the number of events delivered, number of safety equipment units delivered, and number of residents reached.
Before the project, the status quo is the number of residents reached within three (3) miles of housing units built within the last five (5) years.	Before the project the status quo is the number of events currently delivered in disadvantaged communities.	Before the project the status quo is: no events, no safety equipment delivered, and no residents reached.
The project has a goal of reaching ten percent (10%) more	The project has a goal of delivering fifteen (15) rodeos,	The project has a goal of completing outreach and

residents in areas within three (3) miles of housing units built within the last five (5) years.	fifteen (15) tabling events, and twenty-five (25) street team deployments in disadvantaged communities.	education events in fifty percent (50%) of Orange County cities.
--	---	--

Task 2: EDUCATION

Consultant's project team requires staff with experience in delivering in-person education events to residents. The overarching goals for all events shall be to deliver education directly to Orange County residents, familiarize attendees with the rights, rules, and responsibilities for cyclists, pedestrians, and motorists, and to continue to build relationships with local communities and stakeholders. Events shall either be identified by OCTA in coordination with the consulting team or may be requested by external agencies. A minimum of fifty percent (50%) of events selected and planned for by OCTA shall take place within a Disadvantaged Community (areas which most suffer from a combination of economic, health, and environmental burdens as identified by CalEnviroScreen tool). For an external request to be considered the requestor must have the written support of the city, jurisdiction, school, or other public entity in which the requestor is located to ensure a level of commitment from the party making the request.

Task 2.1 Bike Rodeos

- Consultant shall coordinate with OCTA staff to develop, plan, and administer Bike and/or e-bike Rodeos. Consultant team and OCTA shall work with city staff and local stakeholders to deliver bike operation and maintenance education designed as skills activities, exhibits, and games to provide an opportunity for residents to learn about bicycling operations and safety.
- Minimum number of events shall be twenty (20).
- Consultant shall provide or procure all staff, course setup, stations, and supplies required for a successful bike rodeo.
- Consultant, in collaboration with OCTA, shall identify potential local partners such as bicycle shops, community-based organizations (CBOs), or neighborhood associations to amplify messaging about the event, as well as attending the event with a booth and demo materials (bicycles, e-bikes, maintenance stations, etc.).
- Every bike rodeo shall have a bike operations and maintenance element, a bicycling as transportation component designed to help instruct residents on how they can use bicycling as a means of transportation, as well as instruction on how residents can get involved in local decision-making regarding transportation options.
- All collateral shall be developed and printed by OCTA.
- Consultant shall have experience in delivering bicycle rodeos and education within the context of Safe Routes to School.
 - The schedule of bike rodeos will capitalize on standing nation-wide advocacy days such as Walk to School Days, National Bike Month, Bike Walk, and Wheel Week.

Task 2.2 Online Education (Bicycle, Electrical Bicycle, Pedestrian, and Motorist)

- Develop and create online education module(s) specifically targeting bicycles, e-bikes, and motorists' behavior around cyclists.

- Consultant shall create online education and informational resources that teach the rules, rights, and responsibilities of bicycling and walking. Some examples are:
 - Cycling training curriculums
 - Rules of the road
 - Ride better tips
 - Bicycle friendly driver training online course
 - Benefits of non-motorized transportation alternatives

Task	Task Title	Task Deliverable
Task 2.1	Bike Rodeos	Bike rodeos and education messaging at events, Minimum number of events: 20
Task 2.2	Online Education	Online education and informational resources

Task 3: ENGAGEMENT

Participation at existing community events has proven useful to engage a broad spectrum of stakeholders by piggybacking on the attendance and foot traffic generated by larger scale events and directly interfacing with the public. Whereas Task 2 is focused on delivering focused, themed educational activities, the engagement task will focus on providing a broader array of messaging and safety equipment distribution to residents at non-transportation related events. Events shall either be identified by OCTA in coordination with the consulting team or may be requested by external parties.

Task 3.1 Pop-up Tables

- At the direction of OCTA staff, Consultant shall attend specific events to help increase public and neighborhood-level engagement in active transportation as a mode of transportation, as well as providing information about ongoing OCTA active transportation projects and programs to the attending public.
- A minimum of twenty-five (25) pop-up events.
- Consultant shall staff and engage the public directly at community events with at least two (2) staff, bringing materials and handouts to promote and increase awareness of safety, projects or campaigns, or other active transportation initiatives. Opportunities exist to engage the public at community events, such as festivals, farmers markets, and other civic events that may not be oriented to transportation-specific topics.
- Translation services to Spanish and/or Vietnamese to be provided as appropriate.
- All collateral shall be developed and printed by OCTA.

Task 3.2 Mobile Street Team Ambassadors

- Consultant shall develop one (1) method of engagement and messaging specific to the area and location that the street team shall be targeting.
- Location identification and selection shall take place on an as needed/requested basis. Requests shall be evaluated based on need and merit.
- Translation services to Spanish and/or Vietnamese to be provided as appropriate.

- A team of individuals who deliver safety/education messages at a specific location that is not an event location may include:
 - Schools
 - Bikeways
 - High bicycle/pedestrian crash areas
 - High foot/bicycle traffic areas (beach boardwalks, main streets, etc.)
- The street team deployment shall take place specifically during high traffic times at the designated location. The goal shall be to deliver a short and concise message that is specifically needed at the street team deployment location.
- All collateral shall be developed and printed by OCTA.
- A minimum of forty (40) street team events

Task	Task Title	Task Deliverable
Task 3.1	Pop-up Tables	Community events, Minimum number of events: 25
Task 3.2	Mobile Street Team Ambassadors	Short and concise messaging at the street deployment locations during high traffic times, Minimum number of events: 40

Task 4: PARTNERSHIP DEVELOPMENT

Task 4.1 Identify Partnerships

- Opportunities exist to establish working relationships with public and private entities who may be willing to partner with OCTA to advance active transportation goals. Consultant shall provide support in the identification, engagement, and coordination with the prospective stakeholders. In this way OCTA can both broaden its committed active transportation stakeholder group, as well as identifying stakeholders that may be willing to participate in or support education or encouragement activities that are a part of this contract.

Task	Task Title	Task Deliverable
Task 4.1	Identify Partnerships	Develop new partnerships and broaden stakeholder groups.

Task 5: SAFETY EQUIPMENT PROCUREMENT AND BRANDING

Task 5.1 Promotional Safety Products

- Under this contract, Consultant shall coordinate with OCTA to identify, procure, and deliver a range of promotional safety products to OCTA for event giveaways that promote active transportation. In addition to effective product design, engaging material is another key component. OCTA shall retain all materials remaining at the conclusion of the project. Some materials include but are not limited to:

- Blinking lights
- Clip-on safety flasher
- Reflectors
- Helmets
- Helmet stress reliever
- Non-woven reflective drawstring backpack
- Light-up bracelet
- Bike bells

Task	Task Title	Task Deliverable
Task 5.1	Promote Active Transportation	Give away promotional safety products at events

Project Period

The project is anticipated to begin in the Spring of 2024 and end in December 2025

Limitation On Governmental Decisions

Nothing contained in this scope of work permits Consultant 's personnel to authorize or direct any actions, votes, appoint any person, obligate, or commit OCTA to any course of action or enter into any contractual agreement on behalf of OCTA. In addition, Consultant 's personnel shall not provide information, an opinion, or a recommendation for the purpose of affecting a decision without significant intervening substantive review by OCTA personnel, counsel, and management.

EXHIBIT B: COST AND PRICE FORMS

PRICE SUMMARY SHEET

REQUEST FOR PROPOSALS (RFP) 3-3020

Enter below the proposed price for the tasks described in the Scope of Work, Exhibit A. Prices shall include direct costs, indirect costs, profits and tax. The Authority's intention is to award a firm-fixed price contract.

Effective for twenty (20)-months through December 31, 2025.

<u>Description</u>	<u>Firm-Fixed Price</u>
Task 1: Project Administration and Management	\$ _____
Task 2: Education	\$ _____
Task 3: Engagement	\$ _____
Task 4: Partnership Development	\$ _____
Task 5: Safety Equipment Procurement and Branding	\$ _____
Total Firm-Fixed Price	\$ _____

The undersigned, upon acceptance, agrees to provide the service in accordance with the terms, conditions, and requirements as contained in RFP 3-3020 and the supporting documents for all prices proposed.

-
1. I acknowledge receipt of RFP 3-3020 and Addenda No.(s) _____
 2. This offer shall remain firm for _____ days from the date of proposal
(Minimum 120)

COMPANY NAME _____

ADDRESS _____

TELEPHONE _____

SIGNATURE OF PERSON
AUTHORIZED TO BIND OFFEROR _____

SIGNATURE'S NAME AND TITLE _____

DATE SIGNED _____

EXHIBIT C: PROPOSED AGREEMENT

PROPOSED AGREEMENT NO. C-3-3020

BETWEEN

ORANGE COUNTY TRANSPORTATION AUTHORITY

AND

THIS AGREEMENT is effective this _____ day of _____, 2024 ("Effective Date"), by and between the Orange County Transportation Authority, 550 South Main Street, P.O. Box 14184, Orange, California 92863-1584, a public corporation of the State of California (hereinafter referred to as "AUTHORITY"), and , , , (hereinafter referred to as "CONSULTANT").

WITNESSETH:

WHEREAS, AUTHORITY requires assistance from CONSULTANT to provide active transportation outreach and engagement support; and

WHEREAS, said work cannot be performed by the regular employees of AUTHORITY; and

WHEREAS, CONSULTANT has represented that it has the requisite personnel and experience, and is capable of performing such services; and

WHEREAS, CONSULTANT wishes to perform these services;

NOW, THEREFORE, it is mutually understood and agreed by AUTHORITY and CONSULTANT as follows:

ARTICLE 1. COMPLETE AGREEMENT

A. This Agreement, including all exhibits and documents incorporated herein and made applicable by reference, constitutes the complete and exclusive statement of the terms and conditions of this Agreement between AUTHORITY and CONSULTANT and it supersedes all prior representations, understandings and communications. The invalidity in whole or in part of any term or condition of this Agreement shall not affect the validity of other terms or conditions.

B. AUTHORITY's failure to insist in any one or more instances upon CONSULTANT's performance of any terms or conditions of this Agreement shall not be construed as a waiver or

1 relinquishment of AUTHORITY's right to such performance or to future performance of such terms or
2 conditions and CONSULTANT's obligation in respect thereto shall continue in full force and effect.
3 Changes to any portion of this Agreement shall not be binding upon AUTHORITY except when
4 specifically confirmed in writing by an authorized representative of AUTHORITY by way of a written
5 amendment to this Agreement and issued in accordance with the provisions of this Agreement.

6 C. This Article shall survive termination or expiration of the Agreement.

7 **ARTICLE 2. AUTHORITY DESIGNEE**

8 The Chief Executive Officer of AUTHORITY, or designee, shall have the authority to act for and
9 exercise any of the rights of AUTHORITY as set forth in this Agreement.

10 **ARTICLE 3. SCOPE OF WORK**

11 A. CONSULTANT shall perform the work necessary to complete in a manner satisfactory to
12 AUTHORITY the services set forth in Exhibit A, entitled "Scope of Work," attached to and, by this
13 reference, incorporated in and made a part of this Agreement. All services shall be provided at the times
14 and places designated by AUTHORITY.

15 B. CONSULTANT shall provide the personnel listed below to perform the above-specified
16 services, which persons are hereby designated as key personnel under this Agreement.

17 **Names**

Functions

18
19
20
21
22 C. No person named in paragraph B of this Article, or his/her/their successor approved by
23 AUTHORITY, shall be removed or replaced by CONSULTANT, nor shall his/her/their agreed-upon
24 function or level of commitment hereunder be changed, without the prior written consent of AUTHORITY.
25 Should the services of any key person become no longer available to CONSULTANT, the resume and
26 qualifications of the proposed replacement shall be submitted to AUTHORITY for approval as soon as

possible, but in no event later than seven (7) calendar days prior to the departure of the incumbent key person, unless CONSULTANT is not provided with such notice by the departing employee. AUTHORITY shall respond to CONSULTANT within seven (7) calendar days following receipt of these qualifications concerning acceptance of the candidate for replacement.

ARTICLE 4. TERM OF AGREEMENT

This Agreement shall commence upon execution by both parties and shall continue in full force and effect through December 31, 2025, unless earlier terminated or extended as provided in this Agreement.

ARTICLE 5. PAYMENT

A. For CONSULTANT's full and complete performance of its obligations under this Agreement and subject to the maximum cumulative payment obligation provisions set forth in Article 6, AUTHORITY shall pay CONSULTANT on a firm-fixed price basis in accordance with the following provisions.

B. The following schedule shall establish the firm-fixed payment to CONSULTANT by AUTHORITY for each work task set forth in the Scope of Work. The schedule shall not include any CONSULTANT expenses not approved by AUTHORITY, including, but not limited to reimbursement for local meals.

/

/

/

/

/

/

/

/

/

/

<u>Description</u>	<u>Firm-Fixed Price</u>
Task 1: Project Administration and Management	\$ _____
Task 2: Education	\$ _____
Task 3: Engagement	\$ _____
Task 4: Partnership Development	\$ _____
Task 5: Safety Equipment Procurement and Branding	\$ _____
Total Firm-Fixed Price	\$ _____

C. CONSULTANT shall invoice AUTHORITY on a monthly basis for payments corresponding to the work actually completed by CONSULTANT. Percentage of work completed shall be documented in a monthly progress report prepared by CONSULTANT, which shall accompany each invoice submitted by CONSULTANT. CONSULTANT shall also furnish such other information as may be requested by AUTHORITY to substantiate the validity of an invoice. At its sole discretion, AUTHORITY may decline to make full payment for any task listed in paragraph B of this Article until such time as CONSULTANT has documented to AUTHORITY's satisfaction that CONSULTANT has fully completed all work required under the task. AUTHORITY's payment in full for any task completed shall constitute AUTHORITY's final acceptance of CONSULTANT's work under such task.

D. Invoices shall be submitted by CONSULTANT on a monthly basis and shall be submitted in duplicate to AUTHORITY's Accounts Payable office. CONSULTANT may also submit invoices electronically to AUTHORITY's Accounts Payable Department at vendorinvoices@octa.net. Each invoice shall be accompanied by the monthly progress report specified in paragraph C of this Article. AUTHORITY shall remit payment within thirty (30) calendar days of the receipt and approval of each invoice. Each invoice shall include the following information:

1. Agreement No. C-3-3020;
2. Specify the task number for which payment is being requested;
3. The time period covered by the invoice;
4. Monthly Progress Report;

1 5. Certification signed by the CONSULTANT or his/her designated alternate that a)
2 The invoice is a true, complete and correct statement of reimbursable costs and progress; b) The backup
3 information included with the invoice is true, complete and correct in all material respects; c) All payments
4 due and owing to subcontractors and suppliers have been made; d) Timely payments will be made to
5 subcontractors and suppliers from the proceeds of the payments covered by the certification and; e) The
6 invoice does not include any amount which CONSULTANT intends to withhold or retain from a
7 subcontractor or supplier unless so identified on the invoice.

8 6. Any other information as agreed or requested by AUTHORITY to substantiate the
9 validity of an invoice.

10 7. CONSULTANT shall pay any subcontractors performing work under this
11 Agreement for satisfactorily completed work no later than ten (10) days of receipt of each payment from
12 AUTHORITY. The ten (10) calendar days period is applicable unless a shorter period is required by
13 applicable law.

14 8. Any costs for which CONSULTANT receives reimbursement or credit that is
15 determined by a subsequent audit or other review by either AUTHORITY, the Southern California
16 Association of Governments, the California Department of Housing and Community Development,
17 other State authorities or federal cognizant agency to be ineligible or otherwise unallowable, are to
18 be repaid by CONSULTANT within thirty (30) calendar days of CONSULTANT receiving notice or a
19 written demand for reimbursement from AUTHORITY. Such repayment may include interest,
20 penalties, or related fees, as determined by the California Department of Housing and Community
21 Development or other State authorities. Should CONSULTANT fail to reimburse unallowable costs
22 due to AUTHORITY within fifteen (15) calendar days of demand, or within such other period as may
23 be agreed between both parties hereto, AUTHORITY is authorized to withhold and/or off-set future
24 payments to CONSULTANT. CONSULTANT agrees to include these requirements in all of its
25 subcontracts.

26 9. Any travel expenses and per diem rates are not to exceed the rates specified by

1 the State of California Department of Human Resources for similar employees (i.e., non-represented
2 employees) unless written verification is supplied that government hotel rates were not then commercially
3 available to CONSULTANT at the time and location required as specified in the California Department of
4 Transportation's Travel Guide Exception Process, which can be found at the following link:
5 http://www.dot.ca.gov/hq/asc/travel/ap_b/bu1.htm. Also see the link for a summary of travel
6 reimbursement rules. CONSULTANT agrees to include these requirements in all of its subcontracts.

7 10. CONSULTANT agrees to submit all invoices to AUTHORITY for services
8 rendered through June 30th no later than December 31, 2025 during the term of this Agreement.
9 AUTHORITY shall not be obligated to pay CONSULTANT for any invoice received after such date.

10 **ARTICLE 6. MAXIMUM OBLIGATION**

11 Notwithstanding any provisions of this Agreement to the contrary, AUTHORITY and
12 CONSULTANT mutually agree that AUTHORITY's maximum cumulative payment obligation (including
13 obligation for CONSULTANT's profit) shall be _____ Dollars (\$_____.00) which shall include
14 all amounts payable to CONSULTANT for its subcontracts, leases, materials and costs arising from, or
15 due to termination of, this Agreement.

16 **ARTICLE 7. NOTICES**

17 All notices hereunder and communications regarding the interpretation of the terms of this
18 Agreement, or changes thereto, shall be effected by delivery of said notices in person or by depositing
19 said notices in the U.S. mail, registered or certified mail, returned receipt requested, postage prepaid and
20 addressed as follows:

21 /

22 /

23 /

24 /

25 /

26 /

To CONSULTANT:

To AUTHORITY:

Orange County Transportation Authority

550 South Main Street

P.O. Box 14184

Orange, CA 92863-1584

ATTENTION:

ATTENTION: Luis Martinez

Title:

Title: Senior Contract Administrator

Phone:

Phone: (714) 560 - 5767

Email:

Email: lmartinez1@octa.net

ARTICLE 8. INDEPENDENT CONTRACTOR

A. CONSULTANT's relationship to AUTHORITY and the Southern California Association of Governments in the performance of this Agreement is that of an independent contractor. CONSULTANT's personnel performing services under this Agreement shall at all times be under CONSULTANT's exclusive direction and control and shall be employees of CONSULTANT and not employees of AUTHORITY. CONSULTANT shall pay all wages, salaries and other amounts due its employees in connection with this Agreement and shall be responsible for all reports and obligations respecting them, such as social security, income tax withholding, unemployment compensation, workers' compensation and similar matters.

B. Should CONSULTANT's personnel or a state or federal agency allege claims against AUTHORITY involving the status of AUTHORITY as employer, joint or otherwise, of said personnel, or allegations involving any other independent contractor misclassification issues, CONSULTANT shall defend and indemnify AUTHORITY in relation to any allegations made.

C. CONSULTANT agrees to include these requirements in all of its subcontracts.

/

/

/

ARTICLE 9. INSURANCE

A. CONSULTANT shall procure and maintain insurance coverage in full force and effect during the entire term of the Agreement. Coverage shall be full coverage and not subject to self-insurance provisions. CONSULTANT shall provide the following insurance coverage:

1. Commercial General Liability, to include Products/Completed Operations, Independent Contractors', Contractual Liability, and Personal Injury Liability, and Property Damage with a minimum limit of \$1,000,000 per occurrence, \$2,000,000 general aggregate and \$2,000,000 Products/Completed Operations aggregate;

2. Automobile Liability Insurance to include owned, hired and non-owned autos with a combined single limit of \$1,000,000 for each accident;

3. Workers' Compensation with limits as required by the State of California including a Waiver of Subrogation in favor of AUTHORITY, its officers, directors and employees; and

4. Employers' Liability with minimum limits of \$1,000,000 per accident, \$1,000,000 policy limit-disease, and \$1,000,000 policy limit employee-disease.

B. Proof of such coverage, in the form of a certificate of insurance and an insurance policy blanket additional insured endorsement, designating AUTHORITY, the Southern California Association of Governments, and their respective officers, directors, employees, and volunteers as additional insureds on general liability and automobile liability, as required by Agreement. Proof of insurance coverage must be received by AUTHORITY within ten (10) calendar days from the effective date of the Agreement and prior to commencement of any work. Such insurance shall be primary and non-contributive to any insurance or self-insurance maintained by AUTHORITY. Furthermore, AUTHORITY reserves the right to request certified copies or review all related insurance policies, in response to a related loss.

C. CONSULTANT shall include on the face of the certificate of insurance the Agreement No. C- 3-3020 and, the Senior Contract Administrator's Name, Luis Martinez.

D. CONSULTANT shall also include in each subcontract, the stipulation that subconsultants shall maintain insurance coverage in the amounts required of CONSULTANT as provided in the Agreement.

Subconsultants will be required to include AUTHORITY as additional insureds on the Commercial General Liability, and Auto Liability insurance policies.

E. Insurer must provide AUTHORITY with at least thirty (30) days' prior notice of cancellation or material modification of coverage, and ten (10) days' prior notice for non-payment of premium.

F. This Article shall survive termination or expiration of the Agreement.

G. CONSULTANT agrees to include these requirements in all of its subcontracts.

ARTICLE 10. ORDER OF PRECEDENCE

Conflicting provisions hereof, if any, shall prevail in the following descending order of precedence:

(1) the provisions of this Agreement, including all exhibits; (2) the provisions of RFP 3-3020; (3) CONSULTANT's proposal dated _____; (4) all other documents, if any, cited herein or incorporated by reference.

ARTICLE 11. CHANGES

By written notice or order, AUTHORITY may, from time to time, order work suspension and/or make changes in the general scope of this Agreement, including, but not limited to, the services furnished to AUTHORITY by CONSULTANT as described in the Scope of Work. If any such work suspension or change causes an increase or decrease in the price of this Agreement, or in the time required for its performance, CONSULTANT shall promptly notify AUTHORITY thereof and assert its claim for adjustment within ten (10) calendar days after the change or work suspension is ordered, and an equitable adjustment shall be negotiated. However, nothing in this clause shall excuse CONSULTANT from proceeding immediately with the Agreement as changed.

ARTICLE 12. DISPUTES

A. Except as otherwise provided in this Agreement, when a dispute arises between CONSULTANT and AUTHORITY, the project managers shall meet to resolve the issue. If project managers do not reach a resolution, the dispute will be decided by AUTHORITY's Director of Contracts Administration and Materials Management (CAMM), who shall reduce the decision to writing and mail or otherwise furnish a copy thereof to CONSULTANT. The decision of the Director, CAMM, shall be the

1 final and conclusive administrative decision.

2 B. Pending final decision of a dispute hereunder, CONSULTANT shall proceed diligently with
3 the performance of this Agreement and in accordance with the decision of AUTHORITY's Director,
4 CAMM. Nothing in this Agreement, however, shall be construed as making final the decision of any
5 AUTHORITY official or representative on a question of law, which questions shall be settled in
6 accordance with the laws of the State of California.

7 C. If AUTHORITY is required to arbitrate a dispute with the Southern California Association of
8 Governments related to this Agreement in any way, CONSULTANT may be subject to and shall
9 participate in said arbitration as directed by AUTHORITY. A judgment upon the award rendered by
10 arbitration may be entered into any court having jurisdiction thereof. The arbitration panel shall have
11 the authority to grant any remedy or relief that would have been available to the parties had the
12 matter been heard in a court of law. Following arbitration, the arbitration panel shall prepare a written
13 decision containing the essential findings and conclusions on which the award is based so as to
14 ensure meaningful judicial review of the decision. All expenses and fees for the arbitrator and
15 expenses for hearing facilities and other expenses of arbitration shall be borne equally by the parties
16 unless they agree otherwise or unless the arbitrator in the award assesses such expenses against
17 one of the parties or allocates such expenses other than equally between the parties. Either party
18 may bring an action in court to enforce an arbitration award. CONSULTANT agrees to include this
19 provision in all of its subcontracts.

20 D. This Article shall survive termination or expiration of the Agreement.

21 **ARTICLE 13. TERMINATION**

22 A. AUTHORITY may terminate this Agreement for its convenience at any time, in whole or part,
23 by giving CONSULTANT written notice thereof. Upon said notice, AUTHORITY shall pay CONSULTANT
24 its allowable costs incurred to date of termination and those allowable costs determined by AUTHORITY
25 to be reasonably necessary to effect such termination. Thereafter, CONSULTANT shall have no further
26 claims against AUTHORITY under this Agreement.

1 B. In the event either Party defaults in the performance of any of their obligations under this
2 Agreement or breaches any of the provisions of this Agreement, the non-defaulting Party shall have the
3 option to terminate this Agreement upon thirty (30) days' prior written notice to the other Party. Upon
4 receipt of such notice, CONSULTANT shall immediately cease work, unless the notice from AUTHORITY
5 provides otherwise. Upon receipt of the notice from AUTHORITY, CONSULTANT shall submit an invoice
6 for work and/or services performed prior to the date of termination. AUTHORITY shall pay
7 CONSULTANT for work and/or services satisfactorily provided to the date of termination in compliance
8 with this Agreement. Thereafter, CONSULTANT shall have no further claims against AUTHORITY under
9 this Agreement. AUTHORITY shall not be liable for any claim of lost profits or damages for such
10 termination.

11 **ARTICLE 14. INDEMNIFICATION**

12 CONSULTANT shall indemnify, defend and hold harmless AUTHORITY, the Southern California
13 Association of Governments, and their respective officers, directors, employees and agents (indemnities)
14 from and against any and all claims (including attorneys' fees and reasonable expenses for litigation or
15 settlement) for any loss or damages, bodily injuries, including death, damage to or loss of use of property
16 caused by the negligent acts, omissions or willful misconduct by CONSULTANT, its officers, directors,
17 employees, agents, subconsultants or suppliers in connection with or arising out of the performance of
18 this Agreement. This Article shall survive termination or expiration of the Agreement. CONSULTANT shall
19 include these requirements in all of its subcontracts.

20 **ARTICLE 15. ASSIGNMENTS AND SUBCONTRACTS**

21 A. Neither this Agreement nor any interest herein nor claim hereunder may be assigned by
22 CONSULTANT either voluntarily or by operation of law, nor may all or any part of this Agreement be
23 subcontracted by CONSULTANT, without the prior written consent of AUTHORITY. Consent by
24 AUTHORITY shall not be deemed to relieve CONSULTANT of its obligations to comply fully with all terms
25 and conditions of this Agreement.

26 /

B. AUTHORITY hereby consents to CONSULTANT subcontracting portions of the Scope of Work to the parties identified below for the functions described in CONSULTANT's proposal. CONSULTANT shall include in the subcontract agreement the stipulation that CONSULTANT, not AUTHORITY, is solely responsible for payment to the subcontractor for the amounts owing and that the subcontractor shall have no claim, and shall take no action, against AUTHORITY, its officers, directors, employees or sureties for nonpayment by CONSULTANT.

Subcontractor Name/Addresses

Subcontractor Amount

\$ _____ .00

\$ _____ .00

ARTICLE 16. AUDIT AND INSPECTION OF RECORDS

CONSULTANT shall provide AUTHORITY, the Southern California Association of Governments, the California Department of Housing and Community Development, the California Department of General Services, the California Bureau of State Audits, or their designated representatives, such access to CONSULTANT's accounting books, records, payroll documents and facilities, as AUTHORITY deems necessary. CONSULTANT shall maintain such books, records, data and documents in accordance with generally accepted accounting principles and shall clearly identify and make such items readily accessible to such parties during CONSULTANT's performance hereunder and for a period of five (5) years after December 31, 2026. If any litigation, claim, negotiation, audit, monitoring, inspection, or other action has been started before the expiration of the required record retention period, all records shall be retained by CONSULTANT and any subcontractors for five (5) years after: (a) the conclusion or resolution of the matter; (b) the date an audit resolution is achieved for each annual Southern California Association of Governments Overall Work Plan; or (c) December 31, 2026, whichever is later. AUTHORITY's right to audit books and records directly related to this Agreement shall also extend to all first-tier subcontractors identified in Article 15 of this Agreement. CONSULTANT shall permit any of the foregoing parties to reproduce documents by any means whatsoever or to copy excerpts and transcriptions as reasonably necessary.

1 This Article shall survive termination or expiration of the Agreement. CONSULTANT agrees to include
2 these requirements in all of its subcontracts.

3 **ARTICLE 17. CONFLICT OF INTEREST**

4 A. CONSULTANT agrees to avoid organizational conflicts of interest. An organizational conflict
5 of interest means that due to other activities, relationships or contracts, the CONSULTANT is unable, or
6 potentially unable to render impartial assistance or advice to AUTHORITY; CONSULTANT's objectivity
7 in performing the work identified in the Scope of Work is or might be otherwise impaired; or
8 CONSULTANT has an unfair competitive advantage. CONSULTANT is obligated to fully disclose to
9 AUTHORITY in writing Conflict of Interest issues as soon as they are known to CONSULTANT. All
10 disclosures must be submitted in writing to AUTHORITY pursuant to the Notice provision herein. This
11 disclosure requirement is for the entire term of this Agreement.

12 B. If AUTHORITY determines that CONSULTANT, its employees, or subconsultants are subject
13 to disclosure requirements under the Political Reform Act (Government Code section 81000 et seq.),
14 CONSULTANT and its required employees and subconsultants shall complete and file Statements of
15 Economic Interest (Form 700) with AUTHORITY's Clerk of the Board disclosing all required financial
16 interests.

17 C. This Article shall survive termination or expiration of the Agreement.

18 D. CONSULTANT agrees to include these requirements in all of its subcontracts.

19 **ARTICLE 18. CODE OF CONDUCT**

20 CONSULTANT agrees to comply with AUTHORITY's Code of Conduct as it relates to Third-
21 Party contracts which is hereby referenced and by this reference is incorporated herein.
22 CONSULTANT agrees to include these requirements in all of its subcontracts.

23 **ARTICLE 19. PROHIBITION ON PROVIDING ADVOCACY SERVICES**

24 CONSULTANT and all subconsultants performing work under this Agreement, shall be
25 prohibited from concurrently representing or lobbying for any other party competing for a contract with
26 AUTHORITY, either as a prime consultant or subconsultant. Failure to refrain from such

representation may result in termination of this Agreement.

ARTICLE 20. FEDERAL, STATE AND LOCAL LAWS

CONSULTANT warrants that in the performance of this Agreement, it shall comply with all applicable federal, state and local laws, statutes and ordinances and all lawful orders, rules and regulations promulgated thereunder. This Article shall survive termination or expiration of the Agreement. CONSULTANT agrees to include these requirements in all of its subcontracts.

ARTICLE 21. EQUAL EMPLOYMENT OPPORTUNITY

A. In connection with its performance under this Agreement, CONSULTANT shall not discriminate against any employee or applicant for employment because of race, religion, color, sex, age or national origin. CONSULTANT shall take affirmative action to ensure that applicants are employed, and that employees are treated during their employment, without regard to their race, religion, color, sex, age or national origin. Such actions shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.

B. CONSULTANT shall adopt and implement affirmative processes and procedures that provide information, outreach and promotion of opportunities in this Agreement to encourage participation of all persons regardless of race, color, national origin, sex, religion, familial status, or disability. This includes, but is not limited to, a minority outreach program to ensure the inclusion, to the maximum extent possible, of minorities and women, and entities owned by minorities and women, as required by 24 CFR § 92.351.

C. This Article shall survive termination or expiration of the Agreement.

D. CONSULTANT agrees to include these requirements in all of its subcontracts.

ARTICLE 22. PROHIBITED INTERESTS

CONSULTANT covenants that, for the term of this Agreement, no director, member, officer or employee of AUTHORITY during his/her tenure in office or for one (1) year thereafter shall have any interest, direct or indirect, in this Agreement or the proceeds thereof.

ARTICLE 23. OWNERSHIP OF REPORTS AND DOCUMENTS

A. The originals of all letters, documents, reports and other products and data produced under this Agreement shall be delivered to, and become the property of AUTHORITY. Copies may be made for CONSULTANT's records but shall not be furnished to others without written authorization from AUTHORITY. Such deliverables shall be deemed works made for hire and all rights in copyright therein shall be retained by AUTHORITY.

B. All ideas, memoranda, specifications, plans, manufacturing, procedures, drawings, descriptions, and all other written information submitted to CONSULTANT in connection with the performance of this Agreement shall not, without prior written approval of AUTHORITY, be used for any purposes other than the performance under this Agreement, nor be disclosed to an entity not connected with the performance of the project. CONSULTANT shall comply with AUTHORITY's policies regarding such material. Nothing furnished to CONSULTANT, which is otherwise known to CONSULTANT or is or becomes generally known to the related industry shall be deemed confidential. CONSULTANT shall not use AUTHORITY's name, photographs of the project, or any other publicity pertaining to the project in any professional publication, magazine, trade paper, newspaper, seminar or other medium without the express written consent of AUTHORITY.

C. No copies, sketches, computer graphics or graphs, including graphic artwork, are to be released by CONSULTANT to any other person or agency except after prior written approval by AUTHORITY, except as necessary for the performance of services under this Agreement. All press releases, including graphic display information to be published in newspapers, magazines, etc., are to be handled only by AUTHORITY unless otherwise agreed to by CONSULTANT and AUTHORITY.

D. This Article shall survive termination or expiration of the Agreement.

E. CONSULTANT agrees to include these requirements in all of its subcontracts.

/

/

/

ARTICLE 24. PATENT AND COPYRIGHT INFRINGEMENT

A. In lieu of any other warranty by AUTHORITY or CONSULTANT against patent or copyright infringement, statutory or otherwise, it is agreed that CONSULTANT shall defend at its expense any claim or suit against AUTHORITY on account of any allegation that any item furnished under this Agreement or the normal use or sale thereof arising out of the performance of this Agreement, infringes upon any presently existing U.S. letters patent or copyright and CONSULTANT shall pay all costs and damages finally awarded in any such suit or claim, provided that CONSULTANT is promptly notified in writing of the suit or claim and given authority, information and assistance at CONSULTANT's expense for the defense of same. However, CONSULTANT will not indemnify AUTHORITY if the suit or claim results from: (1) AUTHORITY's alteration of a deliverable, such that said deliverable in its altered form infringes upon any presently existing U.S. letters patent or copyright; or (2) the use of a deliverable in combination with other material not provided by CONSULTANT when such use in combination infringes upon an existing U.S. letters patent or copyright.

B. CONSULTANT shall have sole control of the defense of any such claim or suit and all negotiations for settlement thereof. CONSULTANT shall not be obligated to indemnify AUTHORITY under any settlement made without CONSULTANT's consent or in the event AUTHORITY fails to cooperate fully in the defense of any suit or claim, provided, however, that said defense shall be at CONSULTANT's expense. If the use or sale of said item is enjoined as a result of such suit or claim, CONSULTANT, at no expense to AUTHORITY, shall obtain for AUTHORITY the right to use and sell said item, or shall substitute an equivalent item acceptable to AUTHORITY and extend this patent and copyright indemnity thereto.

ARTICLE 25. FINISHED AND PRELIMINARY DATA

A. All of CONSULTANT's finished technical data, including but not limited to illustrations, photographs, tapes, software, software design documents, including without limitation source code, binary code, all media, technical documentation and user documentation, photoprints and other graphic information required to be furnished under this Agreement, shall be AUTHORITY's property upon

1 payment and shall be furnished with unlimited rights and, as such, shall be free from proprietary restriction
2 except as elsewhere authorized in this Agreement. CONSULTANT further agrees that it shall have no
3 interest or claim to such finished, AUTHORITY-owned, technical data; furthermore, said data is subject
4 to the provisions of the Freedom of Information Act, 5 USC 552.

5 B. It is expressly understood that any title to preliminary technical data is not passed to
6 AUTHORITY but is retained by CONSULTANT. Preliminary data includes roughs, visualizations,
7 software design documents, layouts and comprehensives prepared by CONSULTANT solely for the
8 purpose of demonstrating an idea or message for AUTHORITY's acceptance before approval is given
9 for preparation of finished artwork. Preliminary data title and right thereto shall be made available to
10 AUTHORITY if CONSULTANT causes AUTHORITY to exercise Article 13, and a price shall be
11 negotiated for all preliminary data.

12 C. This Article shall survive termination or expiration of the Agreement.

13 D. CONSULTANT shall include these requirements in all of its subcontracts.

14 **ARTICLE 26. HEALTH AND SAFETY REQUIREMENT**

15 CONSULTANT shall comply with all the requirements set forth in Exhibit __, Level 1 Safety
16 Specifications.

17 **ARTICLE 27. LIMITATION ON GOVERNMENTAL DECISIONS**

18 CONSULTANT shall not make, participate in making, or use its position to influence any
19 governmental decisions as defined by the Political Reform Act, Government Code section 8100 et seq.,
20 and the implementing regulations in Title 2 of the California Code of Regulations section 18110 et seq.
21 CONSULTANT's personnel performing services under this Agreement shall not authorize or direct any
22 actions, votes, appoint any person, obligate, or commit AUTHORITY to any course of action or enter into
23 any contractual agreement on behalf of AUTHORITY. In addition, CONSULTANT's personnel shall not
24 provide information, an opinion, or a recommendation for the purpose of affecting a decision without
25 significant intervening substantive review by AUTHORITY personnel, counsel, and management.

26 /

ARTICLE 28. FORCE MAJEURE

Either party shall be excused from performing its obligations under this Agreement during the time and to the extent that it is prevented from performing by an unforeseeable cause beyond its control, including but not limited to: any incidence of fire, flood; acts of God; commandeering of material, products, plants or facilities by the federal, state or local government; national fuel shortage; or a material act or omission by the other party; when satisfactory evidence of such cause is presented to the other party, and provided further that such nonperformance is unforeseeable, beyond the control and is not due to the fault or negligence of the party not performing.

ARTICLE 29. RECYCLING CERTIFICATION

A. CONSULTANT shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to AUTHORITY or the Southern California Council of Governments regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code § 12205).

B. This Article shall survive termination or expiration of the Agreement.

C. CONSULTANT agrees to include these requirements in all of its subcontracts.

ARTICLE 30. ANTITRUST CLAIMS

A. CONSULTANT agrees to comply with Government Code Sections 4550-4554.

B. This Article shall survive termination or expiration of the Agreement.

C. CONSULTANT agrees to include these requirements in all of its subcontracts.

/

/

/

/

1 **ARTICLE 31. CHILD SUPPORT COMPLIANCE ACT**

2 A. If the Maximum Payment Obligation exceeds \$100,000, CONSULTANT acknowledges in
3 accordance with Public Contract Code 7110, that:

4 1. CONSULTANT recognizes the importance of child and family support obligations
5 and shall fully comply with all applicable state and federal laws relating to child and family support
6 enforcement, including, but not limited to, disclosure of information and compliance with earnings
7 assignment orders, as provided in Chapter 8 (commencing with Section 5200) of Part 5 of Division 9 of
8 the Family Code; and

9 2. CONSULTANT, to the best of its knowledge is fully complying with the
10 earnings assignment orders of all employees and is providing the names of all new employees to
11 the New Hire Registry maintained by the California Employment Development Department.

12 B. This Article shall survive termination or expiration of the Agreement.

13 C. CONSULTANT agrees to include these requirements in all of its subcontracts.

14 **ARTICLE 32. PRIORITY HIRING CONSIDERATIONS**

15 A. If Maximum Payment Obligation includes services in excess of \$200,000, CONSULTANT
16 shall give priority consideration in filling vacancies in positions funded by the Agreement to qualified
17 recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Public Contract
18 Code Section 10353.

19 B. This Article shall survive termination or expiration of the Agreement.

20 C. CONSULTANT agrees to include these requirements in all of its subcontracts.

21 **ARTICLE 33. LOSS LEADER**

22 A. If this Agreement involves the furnishing of equipment, materials, or supplies then the
23 following statement is incorporated: It is unlawful for any person engaged in business within this state to
24 sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and
25 Professions Code. (Public Contract Code § 10344(e).)

26 B. This Article shall survive termination or expiration of the Agreement.

1 C. CONSULTANT agrees to include these requirements in all of its subcontracts.

2 **ARTICLE 34. NON-DISCRIMINATION CLAUSE AND STATEMENT OF COMPLIANCE**

3 During the performance of this Agreement, CONSULTANT, for itself, its assignees and successors
4 in interest agree as follows:

5 A. CONSULTANT's signature affixed herein, and dated, shall constitute a certification under
6 penalty of perjury under the laws of the State of California that CONSULTANT has, unless exempt,
7 complied with, the nondiscrimination program requirements of Government Code Section 12990 and Title
8 2, California Code of Regulations, Section 8103.

9 B. During the performance of this Agreement, CONSULTANT and its subconsultants shall not
10 deny the Agreement's benefits to any person on the basis of race, religious creed, color, national origin,
11 ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex,
12 gender, gender identity, gender expression, age, sexual orientation, or military and veteran status, nor
13 shall they unlawfully discriminate, harass, or allow harassment against any employee or applicant for
14 employment because of race, religious creed, color, national origin, ancestry, physical disability, mental
15 disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender
16 expression, age, sexual orientation, or military and veteran status. CONSULTANT and subconsultants
17 shall ensure that the evaluation and treatment of their employees and applicants for employment are free
18 from such discrimination and harassment.

19 C. CONSULTANT and subconsultants shall comply with the provisions of the Fair Employment
20 and Housing Act (Government Code Section 12990 et seq.), the applicable regulations promulgated there
21 under (Title 2 of the California Code of Regulations (CCR) Section 11000 et seq.), the provisions of
22 Government Code Sections 11135-11139.5, and the regulations or standards adopted by AUTHORITY
23 to implement such article. The applicable regulations of the Fair Employment and Housing Commission
24 implementing Government Code Section 12990 (a-f), set forth in 2 CCR Section 8100-8504, are
25 incorporated into this Agreement by reference and made a part hereof as if set forth in full.

26 /

1 D. CONSULTANT shall permit access by representatives of the Department of Fair Employment
2 and Housing (Department) and the AUTHORITY upon reasonable notice at any time during the normal
3 business hours, but in no case less than twenty-four (24) hours' notice, to such of its books, records,
4 accounts, and all other sources of information and its facilities as said Department or AUTHORITY shall
5 require to ascertain compliance with this clause.

6 E. CONSULTANT and its subconsultants shall give written notice of their obligations under this
7 clause to labor organizations with which they have a collective bargaining or other Agreement.

8 F. CONSULTANT shall include the nondiscrimination and compliance provisions of this clause
9 in all subcontracts to perform work under this Agreement.

10 G. CONSULTANT, with regard to the work performed under this Agreement, shall act in
11 accordance with Title VI of the Civil Rights Act of 1964 (42 U.S.C. Section 2000d et seq.). Title VI provides
12 that the recipients of federal assistance will implement and maintain a policy of nondiscrimination in which
13 no person in the United States shall, on the basis of race, color, national origin, religion, sex, age,
14 disability, be excluded from participation in, denied benefits of or subject to discrimination under any
15 program or activity by the recipients of federal assistance or their assignees and successors in interest.

16 H. CONSULTANT shall comply with regulations relative to nondiscrimination in federally-
17 assisted programs of the U.S. Department of Transportation (49 CFR Part 21 – Effectuation of Title VI of
18 the 1964 Civil Rights Act). Specifically, CONSULTANT shall not participate either directly or indirectly in
19 the discrimination prohibited by 49 CFR Section 21.5, including employment practices and the selection
20 and retention of subconsultants.

21 I. This Article shall survive termination or expiration of the Agreement.

22 J. CONSULTANT agrees to include these requirements in all of its subcontracts.

23 **ARTICLE 35. GOVERNING LAW**

24 This Agreement shall be governed by and construed in accordance with the laws of the State of
25 California. Unless otherwise required by the funding source, venue for any action arising from this
26 Agreement shall be Orange County, California. This Article shall survive termination or expiration of the

Agreement.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement No. C-3-3020 to be executed as of the date of the last signature below.

CONSULTANT

ORANGE COUNTY TRANSPORTATION AUTHORITY

By: _____

By: _____

Georgia Martinez
Department Manager, Contracts and Procurement

APPROVED AS TO FORM:

By: _____

James M. Donich
General Counsel

EXHIBIT D: STATUS OF PAST AND PRESENT CONTRACTS FORM

STATUS OF PAST AND PRESENT CONTRACTS FORM

On the form provided below, Offeror/Bidder shall list the status of past and present contracts where the firm has either provided services as a prime vendor or a subcontractor during the past five (5) years in which the contract has been the subject of or may be involved in litigation with the contracting authority. This includes, but is not limited to, claims, settlement agreements, arbitrations, administrative proceedings, and investigations arising out of the contract.

A separate form must be completed for each contract. Offeror/Bidder shall provide an accurate contact name and telephone number for each contract and indicate the term of the contract and the original contract value. Offeror/Bidder shall also provide a brief summary and the current status of the litigation, claims, settlement agreements, arbitrations, administrative proceedings, or investigations. If the contract was terminated, list the reason for termination.

Offeror/Bidder shall have an ongoing obligation to update the Authority with any changes to the identified contracts and any new litigation, claims, settlement agreements, arbitrations, administrative proceedings, or investigations that arise subsequent to the submission of the bid. Each form must be signed by an officer of the Offeror/Bidder confirming that the information provided is true and accurate.

Project city/agency/other:	
Contact Name:	Phone:
Project Award Date:	Original Contract Value:
Term of Contract:	
(1) Litigation, claims, settlements, arbitrations, or investigations associated with contract:	
(2) Summary and Status of contract:	
(3) Summary and Status of action identified in (1):	
(4) Reason for termination, if applicable:	

By signing this Form entitled "Status of Past and Present Contracts," I am affirming that all of the information provided is true and accurate.

Name

Signature

Title
Revised. 03/16/2018

Date

EXHIBIT E: SAFETY SPECIFICATIONS

LEVEL 1 HEALTH, SAFETY AND ENVIRONMENTAL SPECIFICATIONS

PART I – GENERAL

1.1 GENERAL HEALTH, SAFETY & ENVIRONMENTAL REQUIREMENTS

- A. The Contractor, its subcontractors, suppliers, and employees have the obligation to comply with all Authority health, safety and environmental compliance department (HSEC) requirements of this safety specification, project site requirements, bus yard safety rules, as well as all federal, state, and local regulations pertaining to scope of work, contracts or agreements with the Authority. Additionally, manufacturer requirements are considered incorporated by reference as applicable to this scope of work.
- B. Observance of repeated unsafe acts or conditions, serious violation of safety standards, non-conformance of Authority health, safety and environmental compliance department (HSEC) requirements, or disregard for the intent of these safety specifications to protect people and property, by Contractor or its subcontractors may be cause for termination of scope or agreements with the Authority, at the sole discretion of the Authority.
- C. The health, safety, and environmental requirements, and references contained within this scope of work shall not be considered all-inclusive as to the hazards that might be encountered. Safe work practices shall be planned and performed, and safe conditions shall be maintained during this work scope.
- D. The Authority Project Manager shall be responsible to ensure a safety orientation is conducted of known potential hazards and emergency procedures for all Contractor personnel, subcontractors, suppliers, vendors, and new employees assigned to the project prior to commencement of the project.
- E. The Contractor shall ensure that all Contractor vehicles, including those of its subcontractors, suppliers, vendors and employees are parked in designated parking areas, and comply with traffic routes, and posted traffic signs in areas other than the employee parking lots.
- F. California Code of Regulations (CCR) Title 8 Standards are minimum requirements; each Contractor is encouraged to exceed minimum requirements. When the Contractor's safety requirements exceed statutory standards, the more stringent requirements shall be applied for the safeguard of public and employees.

1.2 REGULATORY

- A. Injury/Illness Prevention Program
The Contractor shall comply with CCR Title 8, Section with California Code of Regulations (CCR) Title 8, Section 3203. The intent and elements of the IIPP shall be implemented and enforced by the Contractor and its sub-tier contractors, suppliers, and vendors. The program shall be provided to the Authority's Project Manager, upon request, within 72 hours.
- B. Substance Abuse Prevention Program

Contractor shall comply with the Policy or Program of the Company's Substance Abuse Prevention Policy that complies with the most recent Drug Free Workplace Act. The program shall be provided to the Authority's Project Manager, upon request, within 72 hours.

C. Heat Illness Prevention Program

Contractor shall comply with CCR Title 8, Section, Section 3395, Heat Illness Prevention. The program shall be provided to the Authority's Project Manager, upon request, within 72 hours.

D. Hazard Communication Program

Contractor shall comply with CCR Title 8, Section 5194 Hazard Communication Standard. Prior to use on Authority property and/or project work areas Contractor shall provide the Authority Project Manager copies of SDS for all applicable chemical products used, if any. The program shall be provided to the Authority's Project Manager, upon request, within 72 hours.

- a. All chemicals including paint, solvents, detergents and similar substances shall comply with South Coast Air Quality Management District (SCAQMD) rules 103, 1113, and 1171.

E. Storm Water Pollution Prevention Plan

The Contractor shall protect property and water resources from fuels and similar products throughout the duration of the contract. Contractor shall comply with Storm Water Pollution Prevention Plan (SWPPP) requirements. The program or plan if required by scope shall be provided to the Authority's Project Manager, upon request, within 72 hours.

1.3 INCIDENT NOTIFICATION AND INVESTIGATION

- A. The Authority shall be promptly notified of any of the following types of incidents including but not limited to:

1. Damage incidents of property (incidents involving third party, contractor or Authority property damage);
2. Reportable and/or Recordable injuries (as defined by the U. S. Occupational Safety and Health Administration), a minor injury, and near miss incidents;
3. Incidents impacting the environment, i.e. spills or releases on Authority projects or property.
4. Outside Agency Inspections; agencies such as Cal/OSHA, DTSC, SCAQMD, State Water Resources Control Board, FTA, CPUC, EPA, USACE and similar agencies.

- B. Notifications shall be made to Authority representatives, employees and/or agents. This includes incidents occurring to contractors, vendors, visitors, or members of the public that arise from the performance of Authority contract work. An immediate verbal notice followed by an initial written incident investigation report shall be submitted to the Authority's Project Manager within 24 hours of the incident.

- C. A final written incident investigative report shall be submitted within seven (7) calendar days and include the following information. The Current Status of anyone injured, photos of the incident area, detailed description of what happened, Photos of the existing conditions and area of the injury/incident, the contributing factors that lead to the incident occurrence, a copy of the company policy or procedure associated with the incident and evaluation of effectiveness, copy of task planning documentation, copy of the Physician's first report of injury, copy of Cal/OSHA 300 log of work related injuries and illnesses, the Cal/OSHA 301 Injury Illness Incident Report, and corrective actions initiated to prevent recurrence. This information shall be considered the minimum elements required for a comprehensive incident report provided to OCTA.
- D. A Serious Injury, Serious Incident, OSHA Recordable Injury/Illness, or a Significant Near Miss shall require a formal incident review at the discretion of the Authority's Project Manager. The incident review shall be conducted within seven (7) calendar days of the incident. This review shall require a company senior executive, company program or project manager from the Contractors' organization to participate and present the incident review as determined by the OCTA Project Manager. The serious incident presentation shall include action taken for the welfare of the injured, a status report of the injured, causation factors that lead to the incident, a root cause analysis (using 5 whys and fishbone methods), and a detailed recovery plan that identifies corrective actions to prevent a similar incident, and actions to enhance safety awareness.
1. Serious Injury: includes an injury or illness to one or more employees, occurring in a place of employment or in connection with any employment, which requires inpatient hospitalization for a period in excess of twenty-four hours for other than medical observation, or in which an employee suffers the loss of any member of the body, or suffers any serious degree of physical disfigurement. A serious injury also includes a lost workday or reassignment or restricted injury case as determined by the Physician's first report of injury or Cal/OSHA definitions.
 2. Serious Incident: includes but not limited to property damage of \$500.00 or more, an incident requiring emergency services (local fire, paramedics and ambulance response), news media or OCTA media relations response, and/or incidents involving other agencies (Cal/OSHA, EPA, AQMD, DTSC, Metrolink, FTA, FRA etc.) notification or representation.
 3. OSHA Recordable Injury / Illness: includes and injury / illness resulting in medical treatment beyond First Aid, an injury / illness which requires restricted duty, or an injury / illness resulting in days away from work.
 4. Significant Near Miss Incident: includes incidents where no property was damaged and no personal injury sustained, but where, given a slight shift in time or position, damage and/or injury easily could have occurred.

1.4 DESIGNATED HEALTH AND SAFETY REPRESENTATIVE

- A. Upon contract award, the contractor within 10 business days shall designate a health and safety representative and provide a resume and qualifications to the Authority project manager, upon request, within 72 hours.

- B. This person shall be a competent or qualified individual as defined by the Occupational, Safety, and Health Administration (OSHA), familiar with applicable CCR Title 8 Standards (Cal/OSHA) and has the authority to affect changes in work procedures that may have associated cost, schedule and budget impacts.

1.5 PERSONAL PROTECTIVE EQUIPMENT

- A. The Contractor, its subcontractors, suppliers, and employees are required to comply with applicable personal protective equipment (PPE) requirements while performing work at any Authority project or property. Generally minimum PPE requirements include eye protection; hearing protection, head protection, class 2 or 3 safety reflective vests, and appropriate footwear.
- B. The Contractor, its subcontractors, suppliers, and employees are required to provide their own PPE, including eye, head, foot, and hand protection, safety vests, or other PPE required to perform their work safely on Authority projects or property. The Authority requires eye protection on construction projects and work areas that meet ANSI Z-87.1 Standards.

1.6 REFERENCES

- A. CCR Title 8 Standards (Cal/OSHA)
- B. FCR Including 1910 and 1926 Standards
- C. NFPA, NEC, ANSI, NIOSH Standards
- D. Construction Industry Institute (CII)
- E. OCTA Yard Safety Rules

END OF SECTION

EXHIBIT F: PROPOSAL EXCEPTIONS AND/OR DEVIATIONS

PROPOSAL EXCEPTIONS AND/OR DEVIATIONS

The following form shall be completed for each technical and/or contractual exception or deviation that is submitted by Offeror for review and consideration by Authority. The exception and/or deviation must be clearly stated along with the rationale for requesting the exception and/or deviation. If no technical or contractual exceptions or deviations are submitted as part of the original proposal, Offerors are deemed to have accepted Authority’s technical requirements and contractual terms and conditions set forth in the Scope of Work (Exhibit A) and Proposed Agreement (Exhibit C). Offerors will not be allowed to submit this form or any contractual exceptions and/or deviation after the proposal submittal date identified in the RFP. Exceptions and/or deviations submitted after the proposal submittal date will not be reviewed by Authority.

Offeror:_____

RFP No.:_____ RFP Title: _____

Deviation or Exception No. : _____

Check one:

- Scope of Work (Technical) _____
- Proposed Agreement (Contractual) _____

Reference Section/Exhibit: _____ Page/Article No. _____

Complete Description of Deviation or Exception:

Rationale for Requesting Deviation or Exception:

Area Below Reserved for Authority Use Only:
